

USSN: 10/600,521
Group No. 2815
Examiner: Brock II, Paul E
Page 8



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Nguyen Xuan Nguyen et al.)	Group Art No.: 2815
)	
Application No: 10/600,521)	Examiner: Brock II, Paul E
)	
Filed: June 19, 2003)	Re: RESPONSE
)	
For: "A PROCESS FOR FABRICATING ...")	Our Ref: B-3863NP 620845-2/RPB
)	
)	Date: November 22, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

**DECLARATION OF PRIOR INVENTION IN THE UNITED STATES TO
OVERCOME CITED PATENT OR PUBLICATION (37 CFR 1.131)**

1. This declaration is to establish completion of the invention in this application in the United States, at a date prior to June 28, 2001, which is the filing date of United States Patent 6,492,669 to Nakayama.
2. The persons making this declaration are the inventors.

FACTS AND DOCUMENTARY EVIDENCE

3. To establish the date of completion of the invention of this application, the following attached documents are submitted as evidence:
 - invention disclosure document
 - copies of laboratory notes of the inventors

BEST AVAILABLE COPY

- statements by the inventors presented herein.

4. The attached invention disclosure document is a true and correct copy of the invention disclosure document completed and signed by each named inventor.

5. The attached invention disclosure document, dated December 22, 1999 and signed by the inventors on December 20, 1999 shows, in section 4 of sheet 2 ("Reduction to Practice") that the present invention was reduced to practice between June 1999 and July 1999. The above time interval is prior to the June 28, 2001 filing date of U.S. Pat. No. 6,492, 669 to Nakayama cited by the USPTO Examiner in the Official Action of June 22, 2004.

6. Evidence of reduction to practice of the invention by July 1999 is also presented, with reference to copies of laboratory notes of the inventors enclosed with the present declaration. Those pages are notes from 1999 taken from the inventors' notebooks with reference to the invention at issue. Those pages are additional evidence that the invention was reduced to practice in June-July 1999, prior to the June 28, 2001 filing date of Nakayama. A subset of the enclosed pages (i.e. pages 1-22) will be commented in detail below.

7. The inventors submit that all claims 1-32 as filed, and thus currently pending process claims 1-16, are directed to inventions that were reduced to practice by July 1999, prior to the June 28, 2001 filing date of Nakayama.

8. A list of currently pending process claims 1-16 is presented in the response to the Action of June 22, 2004 accompanying the present declaration.

9. We submit that a process in accordance with the recitation of claim 1 was reduced to practice by July 1999. With reference to the enclosed notebook papers, the following should be noted:

a) Claim 1 recites a process for fabricating ohmic contacts in a field-effect transistor. Pages 1-5 of the enclosed laboratory notes show an "Ohmic P/R Process" and a "Wafer ID." Pages 6 and 7 of the enclosed laboratory notes recite (top right) a "GaN process." Pages 1-5 of the enclosed laboratory notes refer to processes performed starting on May 10, 1999, June 21, 1999, June 25, 1999, July 8, 1999 and July 13, 1999, respectively. Pages 6 and 7 of the enclosed laboratory notes refer to processes performed between January and February 1999, as also indicated on page 8 of the enclosed laboratory notes .

b) Claim 1 further recites that the process includes a step of thinning the first semiconductor layer forming recessed portions in the semiconductor layer. Pages 9-11 and 13 of the enclosed laboratory notes show an "ohmic recess etch" steps performed on different lots. Note that page 9 of the enclosed laboratory notes recites "ohmic etch 5-14-1999" page 10 of the enclosed laboratory notes recites "ohmic recessed 6-22-99", page 11 of the enclosed laboratory notes recites "ohmic etch 6-30-99", page 12 of the enclosed laboratory notes recites "ohmic etch 7-12-99" . Page 14 of the enclosed laboratory notes shows a step # 10 "Ohmic Recess Etch." The same step is also partially shown in Page 8 of the enclosed laboratory notes. The processes performed at pages 6, 14 and 7, 8 of the enclosed laboratory notes have January-February 1999 dates.

Page 15 of the enclosed laboratory notes shows additional experimental data about the etching step. Page 16 of the enclosed laboratory notes shows a diagram relating to etching and data about etching conditions. Page 17 of the enclosed laboratory notes shows comparative diagrams between etching and no etching. Page 18 of the enclosed laboratory notes shows diagrams related to different etching depths.

c) Claim 1 further recites depositing ohmic contacts over the recessed portions. Pages 9-11 and 13 of the enclosed laboratory notes show an "Ohmic metal" step, also specified in page 13 of the enclosed laboratory notes as "Ohmic metal evap."

Page 14 (step # 12) of the enclosed laboratory notes shows an "Ohmic Metallization" step. Pages 9-11 and 13 of the enclosed laboratory notes also show June-July 1999 dates. Page 14 of the enclosed laboratory notes shows January-February 1999 dates.

d) Claim 1 further recites heating the deposited ohmic contacts, whereby, after the heating step, the ohmic contacts communicate with the electron gas. Pages 9-11 and 13 of the enclosed laboratory notes show an "RTA Anneal" step. See also the handwritten notes at page 12 of the enclosed laboratory notes and the last handwritten note at page 13 ("Anneal") of the enclosed laboratory notes .

10. We also submit that a process in accordance with the recitation of claim 2-16 was reduced to practice by July 1999. For example:

a) With reference to claim 2, some of the enclosed pages make reference to GaN semiconductors. See, for example, the top portion of pages 6, 14, 7 and 8 of the enclosed laboratory notes .

b) With reference to claims 3 and 15, some of the enclosed pages make reference to Ti-Al-Ni Au ohmic contacts. See, for example, pages 9-13 of the enclosed laboratory notes .

c) With reference to claim 5, most of the enclosed pages make reference to a reactive ion etching (RIE) process. See, for example, pages 9-13 of the enclosed laboratory notes .

d) With reference to claim 6, most of the enclosed pages make reference to a process employing chlorine (Cl_2). See, for example, pages 9-13 of the enclosed laboratory notes .

e) With reference to claim 7, page 16 of the enclosed laboratory notes represents a diagram with a linear etching function.

f) With reference to claim 11, pages 9-11 and 13 of the enclosed laboratory notes make reference to a heating step performed at 875 ° C.

g) With reference to claim 13, pages 9-11 and 13 of the enclosed laboratory notes make reference to a thinning step performed up to 200 Angstrom.

11. Additional evidence is also shown by the photographs at pages 19-22 of the enclosed laboratory notes. Those photographs are additional evidence not only that a process was performed, but also that a device was built.

12. Additional pages from the inventor's notebooks, not expressly highlighted in the comments above are submitted with the present response. Although these pages have not been commented in detail, they are deemed to be relevant, as additional evidence showing that the invention as claimed in claims 1-16 was reduced to practice.

13. We submit that Nakayama does not claim the same patentable invention of the present application.

14. This declaration is submitted prior to final rejection.

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15. As one of the inventors signing below:

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURES

Inventors:

Full name of first inventor: Nguyen Xuan Nguyen

Inventor's Signature:  Date 11/22/2004

Full name of second inventor: Paul Hashimoto

Inventor's Signature: _____ Date _____

Full name of third inventor: Chanh Nguyen

Inventor's Signature: _____ Date _____

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Page 13

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

SIGNATURES

Inventors:

Full name of first inventor: Nguyen Xuan Nguyen

Inventor's Signature: _____ Date

Full name of second inventor: Paul HashimotoInventor's Signature: Paul Hashimoto 19 NOV 2004 DateFull name of third inventor: Chanh Nguyen

Inventor's Signature: _____ Date

PATENT

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Application No: 10/600,521)	Examiner: Brock II, Paul E
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FABRICATING ...")	
)	
)	Date: November 22, 2004

Commissioner for Patents
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DECLARATION OF UNAVAILABILITY OF INVENTOR

1. My name is Mel Kyle
2. I work for HRL Laboratories, LLC ("HRL") as a paralegal.
3. HRL is the assignee of U.S. Pat. App. 10/600,521 filed on June 19, 2003 and directed to "A process for fabricating ultra-low contact resistances in GaN-based devices."
4. Mr. Chanh Nguyen, one of the inventors of the above application, is no longer an employee of HRL.
5. With reference to the Declaration of Prior Invention under 37 CFR 1.131 to be filed for the above application, I have tried to locate Mr. Chanh Nguyen. According to the last information HRL had on him, Mr. Chanh Nguyen was living at the address listed in the declaration he signed with reference to the present application and was working at GCS located at 23155 Kashiwa Court, Torrance, CA 90505.

6. I tried contacting Mr. Chanh Nguyen regarding the above application and called him at GCS ((310) 530-7274) and learned that he is no longer with GCS.

7. Other people at HRL made phone calls trying to locate Mr. Chanh Nguyen. I have been informed by those people that apparently Mr. Chanh Nguyen is currently in Paris, France taking some time off before he begins a new job, and cannot be reached.

8. Therefore, Mr. Chanh Nguyen is currently unavailable.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like are so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name: Mel Kyle

Signature: Mel Kyle

Date: 11-19-04

Ohmic P/R Process (using 365nm filter on Ch 1)

Wafer ID: 376, 376 (Flt), 379, 379 (flat)

Danny Wong Version 1 April 12, 1999

HRL PROPRIETARY

Date 5-10-99

5-10-99 pl Make Al foil for edge bead flood expose.

5-10-99 pl ACE rinse 30 sec., IPA rinse 30 sec., DI rinse 60 sec., blow dry.

10 pl Drying bake - 100C, 1 min., vac hot plate.

10 pl Spin on AZ 5214EIR, 3500 rpm, 30 sec. (~1.4 um)

10 pl Dehydration bake - 100C, 1 min., vac hot plate.

10 pl Edge bead removal - flood expose edge for 20 sec @ 20mW/sq.cm., develop 30 sec. in 1:5=351:DI, DI rinse 1 min., blow dry, examine for edge bead removal.

Insert the 365nm band pass filter into the only open slot inside the optics train in front of the lamp housing. On KSA #1 aligner's power supply, switch to Channel 1.

Calibrate the intensity in mW/sq.cm. using the handheld OAI meter with the 365nm sensor. The in-control is set at 5.0 mW/sq.cm.

Note: after usage, remove filter, switch back to Channel 2 and check in-control intensity is at 20.0 mW/sq.cm.

Image align & expose: ensure proper contact rainbow fringes on sample.

Record contact setting

Use soft contact, align with Power 1 Ohmic mask. Use Channel 1 set up previously.

Expose for 15 sec (80 mJ/sq.cm.) typical for clear sample.

Record expose time 376 HF - 70 mJ, 110, 155 -> side wall straight - SEM CD = 2.1, 2.3.

Post Expose Bake (PEB): on vac hot plate, 100C, 1 min. 10 sec. typical.

Flood expose: on KSA aligner, 1 min. at 20 mW/sq.cm.

Develop in 1:5=351:DI for 20 sec. typical. DI rinse 2 min., blow dry.

Record develop time

Inspect under microscope for rainbow P/R residue inside patterns, take photos.

If needed, additional 5 sec develop, DI rinse 2 min. and blow dry.

Record additional develop time

Take optical microscope photos of CD pattern at different locations. Take SEM photos for straight sidewall profile and 2.0 um S/D spacings at same location areas.

LF5 O2 plasma clean: 100W, 2 min., 200mT.

PAGE 1

Ohmic P/R process Version 1 continue

5-14 NXR Ohmic recess etch: use Cl₂ RIE at UCSB. Target ~200Å based on test samples at 400Å/min rate.

5-14 NXR Just prior to sample loading for ohmic metal: 15:1 DI diluted NH₄OH for 30 sec dip, DI rinse 1 min., blow dry.

5-14 NXR Ohmic metal: use Bay 1 evaporator to deposit Ti-Al-Ni-Au 200-2000-400-500Å.

5-14 NXR Lift off: ACE soak 15 min. Ultrasonic ACE 1 min. ACE spray 15 sec., clean in ACE 30 sec., IPA clean 30 sec., DI rinse 60 sec., blow dry. Examine for metal residue. Take photos.

- RTA Anneal at 875C, 30 sec.
- Check I-V for 2µm S/D spacing on curve tracer.

① 376 NF - ① much residue ② Ghost of IR pattern
Of prior ohmic no
stripped off completely

Ohmic etch

5-14-1999

PAGE 9

Ohmic P/R Process (using 365nm filter on Ch 1)

Wafer ID: 393, 394, 396, 398

Lot 12

Date 6/21/99

Danny Wong Version 1 April 12, 1999

ERL PROPRIETARY

393 SH

394 404 Access Gate

* For 396/398, deposit 100A SiN before Ohmic P/R process
6/21/99 Make Al foil for edge bead flood expose.

*396 SiN 100A
*398 SiN 100A

LOT 12

ACE rinse 30 sec., IPA rinse 30 sec., DI rinse 60 sec., blow dry.

6/22/99 - Drying bake - 100C, 1 min., vac hot plate.
100% H₂EX: spin at 3500 rpm, 30 sec for 396/398 samples (then AZ 5214 P/L)
Spin on AZ 5214 EIR, 3500 rpm, 30 sec. (~1.4 um)
393 RWE

6/22/99 Dehydration bake - 100C, 1 min., vac hot plate. for clear wfr. 70°C, 1 min for opaque wfrs

6/22/99 Edge bead removal - flood expose edge for 10 sec @ 20mW/sq.cm., for clear, 5 sec for Opaque
develop 30 sec. in 1:5=351:DI, DI rinse 1 min., blow dry,
examine for edge bead removal.

6/22/99 Insert the 365nm band pass filter into the only open slot inside the optics train in front
of the lamp housing. On KSA #1 aligner's power supply, switch to Channel 1.
Calibrate the intensity in mW/sq.cm. using the handheld OAI meter with the 365nm
sensor. The in-control is set at 5.0 mW/sq.cm.

Note: after usage, remove filter, switch back to Channel 2 and check in-control
intensity is at 20.0 mW/sq.cm.

6/22/99 Image align & expose: ensure proper contact rainbow fringes on sample.
Record contact setting
Use soft contact, align with Power 1 Ohmic mask. Use Channel 1 set up previously.
Expose for 16 sec (30 mJ/sq.cm.) typical for clear sample. 9 sec (45 mJ) for Opaque.
Record expose time 60

6/22/99 Post Expose Bake (PEB): on vac hot plate, 100C, 1 min. 10 sec. typical. clear [55 sec for opaque]
Flood expose: on KSA aligner, 1 min. at 20 mW/sq.cm.

6/22/99 Develop in 1:5=351:DI for 15 sec. typical. DI rinse 2 min., blow dry.
Record develop time
Inspect under microscope for rainbow P/R residue inside patterns, take photos.
If needed, additional 5 sec develop, DI rinse 2 min. and blow dry.
Record additional develop time

PAGE 2

Take optical microscope photos of CD pattern at different locations. Take SEM
photos for straight sidewall profile and 2.0 um S/D spacings at same location areas.
109

6/22/99 LF5 O2 plasma clean: 100W, 2 min., 200mT. only 6uW 396, 398 Run 1313

6/22/99 100A SiN etch: C14/02 RIE in plasma ~~thru~~ semi-groove
for 396/398 samples BOE dip 5 sec, DI 2 min, blow dry

6/22/99 All 4 samples → L1 CSP @ C1 RIE

Lot 12

Ohmic P/R process Version 1 continue

Ohmic recess etch: use Cl2 RIE at UCSB. Target ~200A based on test samples at 400A/min rate.

Just prior to sample loading for ohmic metal: 15:1 DI diluted NH4OH for 30 sec dip, DI rinse 1 min., blow dry.

Ohmic metal: use Bay 4 ^{CHA} evaporator to deposit Ti-Al-Ni-Au 200-2000-400-500A.

Lift off: ACE soak 15 min. Ultrasonic ACE 1 min. ACE spray 15 sec., clean in ACE 30 sec., IPA clean 30 sec., DI rinse 60 sec., blow dry. Examine for metal residue.

Take photos.

RTA Anneal at 875C, 30 sec.

Check I-V for 2um S/D spacing on curve tracer.

PAGE 10

Ohmic lift off inspection
393 = OK

346 = many particles some in S-D

398 = Many 2um lifted S-D present
almost all 20 gated devices = 1. filed

404 - 5048 95 residue in gate
3049 94 " " "

Most residue is NOT in S-D area
6/24/99 ph inspect

6/24/99 pres 1000 100°C 60' scrub + 5'
∴ resist removed!!!

Beaker process

Lot 12 start 6-21-99

Ohmic recessed 6-22-99

Ohmic P/R Process (using 365nm filter on Ch 1)

Wafer ID: GUN: 308, 308, 309, 309

Danny Wong Version 1 April 12, 1999

BD 9232AL

Date 6-25-99

HRL PROPRIETARY

LOT
13

Start
6:25:00
Fri

Make Al foil for edge bead flood expose.

6/26 pm - PRS 1000 50°C @ 15 min, swabs, + 5' PRS → H₂O rinse (All 4 wtrs)

6/26 pm ACE rinse 30 sec., IPA rinse 30 sec., DI rinse 60 sec., blow dry.

6/28 12 Drying bake - 100C, 1 min., vac hot plate.

6/28 12 Spin on AZ 5214EIR, 3500 rpm, 30 sec. (~1.4 um)

6/28 12 Dehydration bake - 100C, 1 min., vac hot plate. for clear wtr. 70°C, 1 min for opaque wtrs

6/28 12 Edge bead removal - flood expose edge for ¹⁰sec @ 20mW/sq.cm., for clear, 5 sec for Opaque develop 30 sec. in 1:5=351:DI, DI rinse 1 min., blow dry, examine for edge bead removal.

6/24 12 Insert the 365nm band pass filter into the only open slot inside the optics train in front of the lamp housing. On KSA #1 aligner's power supply, switch to Channel 1. Calibrate the intensity in mW/sq.cm. using the handheld OAI meter with the 365nm sensor. The in-control is set at 5.0 mW/sq.cm.

Note: after usage, remove filter, switch back to Channel 2 and check in-control intensity is at 20.0 mW/sq.cm.

Image align & expose: ensure proper contact rainbow fringes on sample.

Record contact setting _____

Use soft contact, align with Power 1 Ohmic mask. Use Channel 1 set up previously.

Expose for 16 sec (30 mJ/sq.cm.) typical for clear sample. 9 sec (45 mJ) for opaque.

Record expose time 60

Post Expose Bake (PEB): on vac hot plate, 100C, 1 min. 10 sec. typical. clear. 55 sec for opaque
Flood expose: on KSA aligner, 1 min. at 20 mW/sq.cm.

Develop in 1:5=351:DI for ¹⁵20 sec. typical. DI rinse 2 min., blow dry.

Record develop time _____

Inspect under microscope for rainbow P/R residue inside patterns, take photos.

If needed, additional 5 sec develop, DI rinse 2 min. and blow dry.

Record additional develop time _____

Take optical microscope photos of CD pattern at different locations. Take SEM photos for straight sidewall profile and 2.0 um S/D spacings at same location areas.

124/12 LF5 O2 plasma clean: 100W, 2 min., 200mT.

6/30/99 → UCR for etch

PAGE 3

Ohmic P/R process Version 1 continue

104
13

- 6/32 Ohmic recess etch: use Cl₂ RIE at UCSB. Target ~200Å based on test samples at 400Å/rate.
- Just prior to sample loading for ohmic metal: 15:1 DI diluted NH₄OH for 30 sec dip, DI rinse 1 min., blow dry.

1-100 Ohmic metal: use Bay⁴ evaporator to deposit Ti-Al-Ni-Au 200-2000-400-500Å.

1-101 Lift off: ACE soak 15 min. Ultrasonic ACE 1 min. ACE spray 15 sec., clean in ACE 30 sec., IPA clean 30 sec., DI rinse 60 sec., blow dry. Examine for metal residue. Take photos.

1-144 RTA Anneal at 875C, 30 sec.

- Check I-V for 2µm S/D spacing on curve tracer.

1-146 Run 908 Flat - PR residue in all ~~cases~~ S-D very dense
All 9 have PR residue in S-D

1-149- Becker process PDS 1000 100°C
10' scrub + 5', Rinse H₂O, IPA, Acetone, IPA



Ohmic etch 6-30-99

PAGE 11

Ohmic P/R Process (using 365nm filter on Ch 1)

Wafer ID: 6uN100001414 & 6uN1414 = minor flut

Danny Wong Version 1

April 12, 1999

HRL PROPRIETARY

Date 7-8-99

Make Al foil for edge bead flood expose.

PPS 1000 50°C pre clean 15 + swab + 5; H₂O rinse

ACE rinse 30 sec., IPA rinse 30 sec., DI rinse 60 sec., blow dry.

Drying bake - 100C, 1 min., vac hot plate.

Spin on AZ 5214EIR, 3500 rpm, 30 sec. (~1.4 um)

Dehydration bake - 100C, 1 min., vac hot plate. for clear wfr. 70°C, 1 min for opaque wfrs

Edge bead removal - flood expose edge for ¹⁰20 sec @ 20mW/sq.cm., for clear, 5 sec for Opaque develop 30 sec. in 1:5=351:DI, DI rinse 1 min., blow dry, examine for edge bead removal.

^{2.4 NF = 570 contact}
Insert the 365nm band pass filter into the only open slot inside the optics train in front of the lamp housing. On KSA #1 aligner's power supply, switch to Channel 1. Calibrate the intensity in mW/sq.cm. using the handheld OAI meter with the 365nm sensor. The in-control is set at 5.0 mW/sq.cm.

Note: after usage, remove filter, switch back to Channel 2 and check in-control intensity is at 20.0 mW/sq.cm.

Image align & expose: ensure proper contact rainbow fringes on sample.

Record contact setting 570 560

Use soft contact, align with Power 1 Ohmic mask. Use Channel 1 set up previously.

Expose for 16 sec (80 mJ/sq.cm.) typical for clear sample. 9 sec (45 mJ) for Opaque.

Record expose time 60

Post Expose Bake (PEB): on vac hot plate, 100C, 1 min. 10 sec. typical. clear. 55 sec for opaque

Flood expose: on KSA aligner, 1 min. at 20 mW/sq.cm.

Develop in 1:5=351:DI for ¹⁵20 sec. typical. DI rinse 2 min., blow dry.

Record develop time

Inspect under microscope for rainbow P/R residue inside patterns, take photos.

If needed, additional 5 sec develop, DI rinse 2 min. and blow dry.

Record additional develop time

Take optical microscope photos of CD pattern at different locations. Take SEM photos for straight sidewall profile and 2.0 um S/D spacings at same location areas.

LF5 O2 plasma clean: 100W, 2 min., 200mT. Run # 1463

LOT

SIC 14

(1) Gain noise

(2) Gain minor flut

Lot 14

4/4

Complete

for

Post & SPAN

PAGE 4

13 pages

7-12-99 ph - Both wafers back from UCSB C1 P1E
CHA not available till 7/13/99 1:00 PM

Lot
14

- 1/ - CHA evap. Ti, Al, Ni Au
- 7/ - Lift off Acetone 15' + spray + 5-10', 1 PA
- 7- - In spec
- 7- - Anneal chemi

Chmic etch 7-12-99[?]

PAGE 12

Charlie P/R Process (using 365nm filter on Ch 1) + GaN 419 No Fluor
Wafer ID: GaN 415 NF, GaN 415 F, WT 990409A1 (1/2 wafer)
Danny Wong Version 1 April 12, 1999
Date 7-13-99
HRL PROPRIETARY

7-13-99 14 Make Al foil for edge bead flood expose.

7-13-99 15 ACE rinse 30 sec., IPA rinse 30 sec., DI rinse 60 sec., blow dry.

7-13-99 16 Drying bake - 100C, 1 min., vac hot plate.

7-13-99 17 Spin on AZ 5214EIR, 3500 rpm, 30 sec. (~1.4 um)

7-13-99 18 Dehydration bake - 100C, 1 min., vac hot plate. for clear wfr. 70°C, 1 min for opaque wfrs

7-13-99 19 Edge bead removal - flood expose edge for 20 sec @ 20mW/sq.cm., for clear, 5 sec for Opaque
develop 30 sec. in 1:5=351:DI, DI rinse 1 min., blow dry,
examine for edge bead removal.

13-1-99 20 Insert the 365nm band pass filter into the only open slot inside the optics train in front
of the lamp housing. On KSA #1 aligner's power supply, switch to Channel 1.
Calibrate the intensity in mW/sq.cm. using the handheld OAI meter with the 365nm
sensor. The in-control is set at 5.0 mW/sq.cm. 415 F = PR stick to mask & Rework PR.

Note: after usage, remove filter, switch back to Channel 2 and check in-control
intensity is at 20.0 mW/sq.cm.

13-1-99 21 Image align & expose: ensure proper contact rainbow fringes on sample.
Record contact setting

Use soft contact, align with Power 1 Ohmic mask. Use Channel 1 set up previously.
Expose for 16 sec (80 mJ/sq.cm.) typical for clear sample. 9 sec (45 mJ) for opaque.

Record expose time

13-1-99 22 Post Expose Bake (PEB): on vac hot plate, 100C, 1 min. 10 sec. typical. clear. 55 sec for opaque
Flood expose: on KSA aligner, 1 min. at 20 mW/sq.cm.

13-1-99 23 Develop in 1:5=351:DI for 20 sec. typical. DI rinse 2 min., blow dry.
Record develop time

Inspect under microscope for rainbow P/R residue inside patterns, take photos.
If needed, additional 5 sec develop, DI rinse 2 min. and blow dry.
Record additional develop time

13-1-99 24 Take optical microscope photos of CD pattern at different locations. Take SEM
photos for straight sidewall profile and 2.0 um S/D spacings at same location areas.

Wt = 45% of 2 um = lifted on 1st strip on 7/14

Wt: 2nd time = also poor adhesion

Wt: 3rd time strip

LF5 O2 plasma clean: 100W, 2 min., 200mT.

2-4-99 415 F RUN 1507 100W, 2'

1/4/99 ph WT 990409A1 & GaN 419 No Fluor & Either Ohmic PR or unexposed successfully
NXN (Charlie 4th time) PR

Dehydration Bake = 1:1:2'

2/1/99 ph LF5 Run 1576 2' 100W

2/1/99 NXN - Ohmic PR, expose on GaN 420 = Done

PAGE 5

Ohmic P/R process Version 1 continue

Ohmic recess etch: use Cl₂ RIE at UCSB. Target ~200A based on test samples at 400A/min rate.

Just prior to sample loading for ohmic metal: 15:1 DI diluted NH₄OH for 30 sec dip, DI rinse 1 min., blow dry.

Lot
15

Ohmic metal: use Bay 4 evaporator to deposit Ti-Al-Ni-Au 200-2000-400-500A.

Lift off: ACE soak 15 min. Ultrasonic ACE 1 min. ACE spray 15 sec., clean in ACE 30 sec., IPA clean 30 sec., DI rinse 60 sec., blow dry. Examine for metal residue.

Take photos. 415 Flat cracked → set
415 Both are much!! residue on ohmic

RTA Anneal at 875C, 30 sec.

Check I-V for 2um S/D spacing on curve tracer.

Bit Ohmic metal evap $\approx 3.7 \times 10^{-7}$ process #5

Lot 44040911
Run 419
Run 420

Parameter #	2	5	3	6
Layer #	(1)	(2)	(3)	(4)
Thickness	300 Å	2,000	400	500
Metal	Ti	Al	Ni	Au

Rate increased
50 Deg
Stopped
fine Si-Like
thickness
3.17 Å

PAGE 13

Lift off Aceone 15:1, PR 1000 100°C, w/s 2'

wt = clean
419 = numerous specks on ohmic metal. Also fine scratches.
420 = less " " " " " " " " " " " "

Anneal
Bit 3 wafers wt 419, 420

LOT #: 3	Wafer ID: H2C 319	NMD 448, 449	GaN Process OS		
Step #	Process	OS Q R	D A T E	S I G	Instructions

OHMIC

1	Blanket Expose Al Foil Pattern	3	1/27 99	ph	Trace wafer outline with dots on Al foil using exacto knife, then retrace outline ~2mm undersize all around. Cut and trim undersized outline with scissors. If needed, flatten trimmed Al foil pattern between two glass plates. Try pattern on wafer for exposed edge fit, trim if needed. Put Al foil on round wafer carrier so that it sticks to the underside of the carrier cap for use. Put Sapphire with epi side face down on carrier. Measure Sapphire thickness on dial gauge _____ um
2	Solvent Clean	3	1/27 99	ph	ACE 30 sec., IPA 30 sec., DI rinse 60 sec. N ₂ blow dry.
3	P/R Coat	3	1/27 99	ph	Pre-bake on vacuum hot plate, 100C, 1 min. Spin AZ 5214EIR @ 3500RPM, 30 sec. (~1.4um) Soft bake on vacuum hot plate, 100C, 1 min.
4	Edge Bead Removal	3	1/27 99	ph	Put trimmed Al foil over wafer with edge exposed. Flood expose edges for 20 sec @ 20mW/sq.cm. on KSA aligner using soft contact. Develop for 30 sec. In 1:5=AZ351:DI DI rinse 1 min., N ₂ blow dry.
5	Ohmic Mask Align & Expose (Contact) <i>original setting = 6.4 44</i>	3	1/28 99	ph	Power 1 Ohmic Mask Use Soft Contact mode on KSA Aligner #1 Put dark electrical tape on wafer chuck, put Sapphire sample on top of tape. Orient it so that S-D channel will be parallel to straight edge of sample. Adjust separation dial for proper contact rainbow fringes on sample. Adjust sample so that e-beam gate markers are near sample corners with 2-3 pattern reticles included. Expose 2.9 sec. typ. for clear sample 2.7 sec typ. For dull sample (20mW/sq.cm.) Sample # _____ Exposure time _____
6	Image Reversal	3	1/28 99	ph	Post Expose Bake (PEB): On vac hot plate, 100C, 1 min. Flood Expose: On KSA aligner, 1 min. @ 20mW/sq.cm.
7	Develop	3	1/28 99	ph	AZ351 : H ₂ O = 1:5 for 15 sec. 1 min. DI rinse, N ₂ blow dry.

PAGE 6

LOT #:

3

Wafer ID:

HRL # 319

NMD 448 449

GaN Process OS

Step #	Process	OS Q R	D A T E	S I G	Instructions
	OHMIC continue				
8	Inspect 448 = OK 449 = OK 319 = dirty resist - poor contact 449 = poor contact Reworked 319 2nd litho = crack resist resist stick to mask CD = 1-1.2 um	3	1/28	M	Optical microscope: Inspect for proper pattern expose & develop. Rough measure of 2um S-D CD using measure reticle. Take photos. SEM: Take photos of patterns and P/R sidewall profiles. Accurate measure 2um S-D CD.
9	O ₂ Plasma Clean			M	LF5 100W, 200mT, 2 min. Run # _____
10	Ohmic Recess Etch			M	At UCSB using Cl ₂ RIE Target ~ 200A based on test samples Record: recess etch _____ A Cl ₂ RIE conditions:
11	Pre-Metal Clean				Just prior to loading NH ₄ OH : H ₂ O = 1:15, 30 sec. 1 min. DI rinse, N ₂ blow dry.
12	Ohmic Metallization				Use Bay 1 evaporator Ti - Al - Ni - Au 200 - 2000 - 400 - 500A
13	Lift-Off All = very difficult 1-ft off up 2+2 = 2 min. 319 = metal ribbon fall onto all devices all devices metal at S-D 449 mixed up bath = bad	3	2/28	M	ACE soak 15 min. Ultrasonic ACE 1 min. ACE spray 15 sec., clean in ACE 30 sec., IPA 30 sec., DI 60 sec., N ₂ blow dry. Examine for P/R residues. If needed, use Automated lift off bath with PRS-1000 @ 100C, 60 min. 120 min. max. w/ 10 min. additional increments Record time _____ DI water spray 15 sec. DI rinse 2 min., N ₂ blow dry.
14	Inspect				Optical microscope to inspect for P/R residues Take photos. Comment:
15	Anneal				RTA anneal @ 875C, 30 sec.
16	I-V Check				On curve tracer, check I-V for 2um spacing. Take photos.

PAGE 14

LOT #:

3

Wafer ID:

HRL 319

NMD 448, 449

GaN Process OS

Step #	Process	OS Q R	D A T E	S I G	Instructions
--------	---------	--------------	------------------	-------------	--------------

OHMIC

1	Blanket Expose Al Foil Pattern	3	1/27 90	ph	Trace wafer outline with dots on Al foil using exacto knife, then retrace outline ~2mm undersize all around. Cut and trim undersized outline with scissors. If needed, flatten trimmed Al foil pattern between two glass plates. Try pattern on wafer for exposed edge fit, trim if needed. Put Al foil on round wafer carrier so that it sticks to the underside of the carrier cap for use. Put Sapphire with epi side face down on carrier. Measure Sapphire thickness on dial gauge _____ um
2	Solvent Clean	3	1/27 99	ph	ACE 30 sec., IPA 30 sec., DI rinse 60 sec. N ₂ blow dry.
3	P/R Coat	3	1/27 90	ph	Pre-bake on vacuum hot plate, 100C, 1 min. Spin AZ 5214EIR @ 3500RPM, 30 sec. (~1.4um) Soft bake on vacuum hot plate, 100C, 1 min.
4	Edge Bead Removal	3	1/27 99	ph	Put trimmed Al foil over wafer with edge exposed. Flood expose edges for 20 sec @ 20mW/sq.cm. on KSA aligner using soft contact. Develop for 30 sec. In 1:5=AZ351:DI DI rinse 1 min., N ₂ blow dry.
5	Ohmic Mask Align & Expose (Contact) Original setting = 6.4 44	3	1/28 9	ph	Power 1 Ohmic Mask Use Soft Contact mode on KSA Aligner #1 Put dark electrical tape on wafer chuck, put Sapphire sample on top of tape. Orient it so that S-D channel will be parallel to straight edge of sample. Adjust separation dial for proper contact rainbow fringes on sample. Adjust sample so that e-beam gate markers are near sample corners with 2-3 pattern reticles included. Expose 2.9 sec. typ. for clear sample 2.7 sec typ. For dull sample (20mW/sq.cm.) Sample # _____ Exposure time _____ _____
6	Image Reversal	3	1/28 off	ph	Post Expose Bake (PEB): On vac hot plate, 100C, 1 min. Flood Expose: On KSA aligner, 1 min. @ 20mW/sq.cm.
7	Develop	3	1/28 99	ph	AZ351 : H ₂ O = 1:5 for 15 sec. 1 min. DI rinse, N ₂ blow dry.

PAGE 7

RCE: HRL)

DO NOT DISCLOSE
Effective Jan. 12, 1999

LOT #:

3

Wafer ID:

HRL # 319

NMD 448 449

GaN Process OS

Step #	Process	OS Q R	D A T E	S I G	Instructions
	OHMIC continue				
8	Inspect 448 = OK 449 = OK 319 = Dirty resist - poor contact 449 = poor contact Reworked 319 2nd litho = crack resist resist stick to mask CD = 1-1.2um	3	1/28	in	Optical microscope: Inspect for proper pattern expose & develop. Rough measure of 2um S-D CD using measure reticle. Take photos. SEM: Take photos of patterns and P/R sidewall profiles. Accurate measure 2um S-D CD.
9	O ₂ Plt				
10	Ohmic				st samples A
11	Pre-Mt				c. y.
12	Ohmic				
13	Lift-Off All = very difficult lift off up 2+2+2 min. 319 = metal ribbon fall onto all devices all devices metal at S-D 449, 8 mixed up bath = bad	3	1/28	PL	ACE soak 15 min. Ultrasonic ACE 1 min. ACE spray 15 sec., clean in ACE 30 sec., IPA 30 sec., DI 60 sec., N ₂ blow dry. Examine for P/R residues. If needed, use Automated lift off bath with PRS-1000 @ 100C, 60 min. 120 min. max. w/ 10 min. additional increments Record time _____ DI water spray 15 sec. DI rinse 2 min., N ₂ blow dry.
14	Inspect				Optical microscope to inspect for P/R residues Take photos. Comment:
15	Anneal				RTA anneal @ 875C, 30 sec.
16	I-V Check				On curve tracer, check I-V for 2um spacing. Take photos.

PAGE 8

LABORATORY NOTEBOOK

Notebook No.: 50017

Assigned to: Nguyen X. Nguyen

Date: 12/18/97

Use Nalge Cat. No.

6301-1000
to reorder.

Copyright 1973, Nalge Company
Printed in U.S.A.



Printed on recycled paper

Etching Experiment with Cl₂ ECR System:

⇒ First trial: 200 W / 4 mT / 10 sccm → ~700 Å/min.

100 W / 4 mT / 10 sccm → 350 Å/min.

300 W / 4 mT / 10 sccm → Unstable plasma, no reliable data.

400 W " " " " " "

200 W w/ Vary Pressure.

4 mT (Top Mag Only) Unstable → Stable

2 min Etching
3245 Å

6 mT (Top Mag Only) Stable

3695 Å

8 mT (Both Magnet) Unstable → Stable

No data

10 mT (Both Magnet) Stable

200 → 300 Å.

200 W → 4 mT No Run (Power shut off)

250 W → 8 mT

Recent etch data:

200 W w/ 4 mT. Top mag only → ~1000 Å (twice)

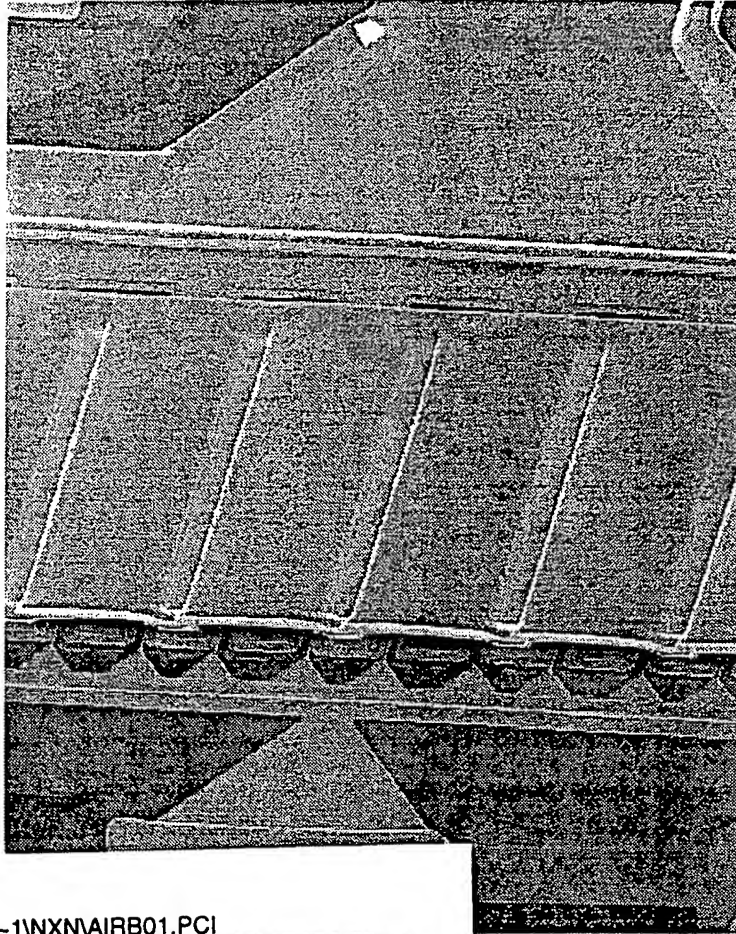
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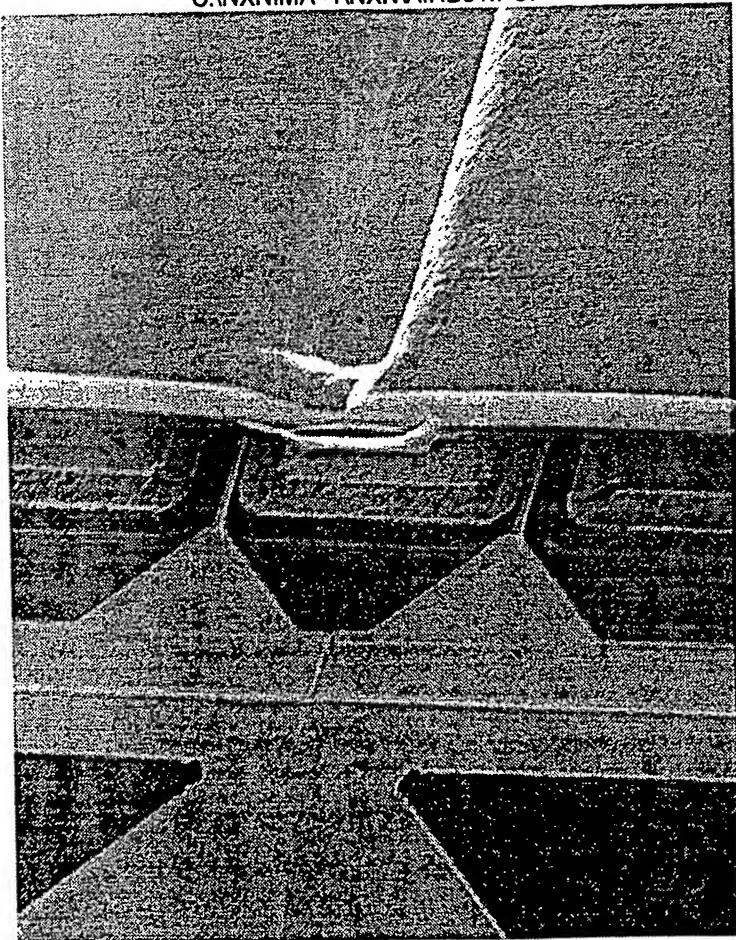
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12 pages

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PAGE 19

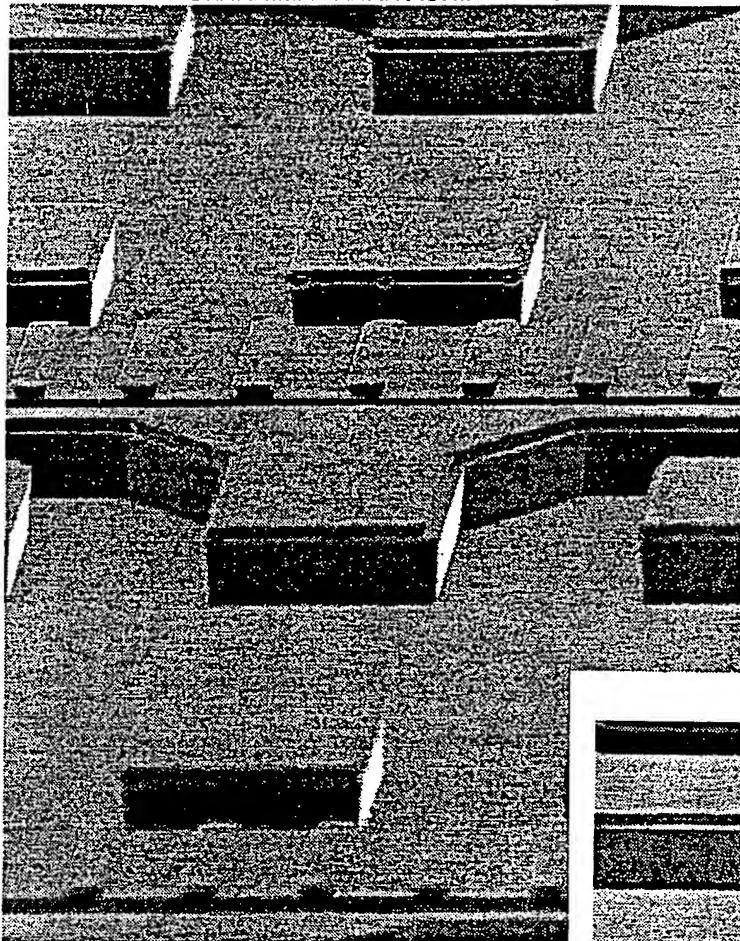
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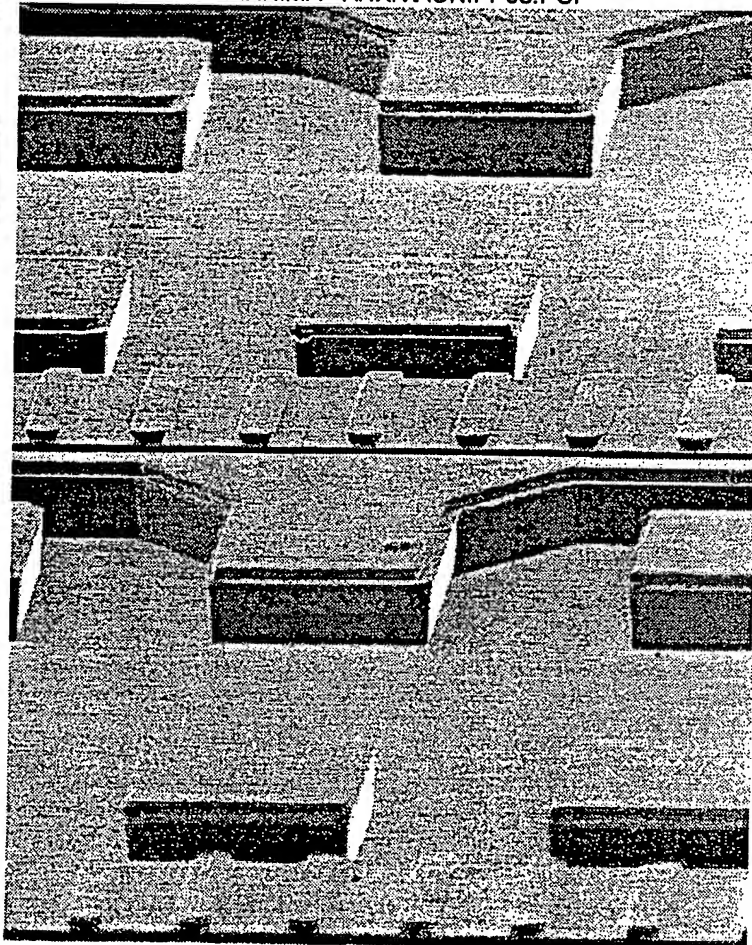
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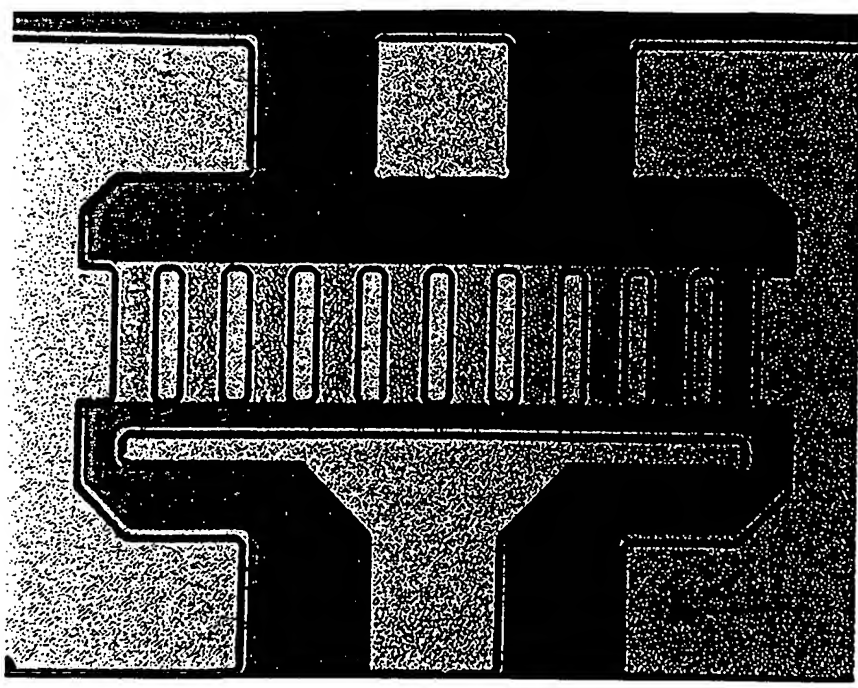


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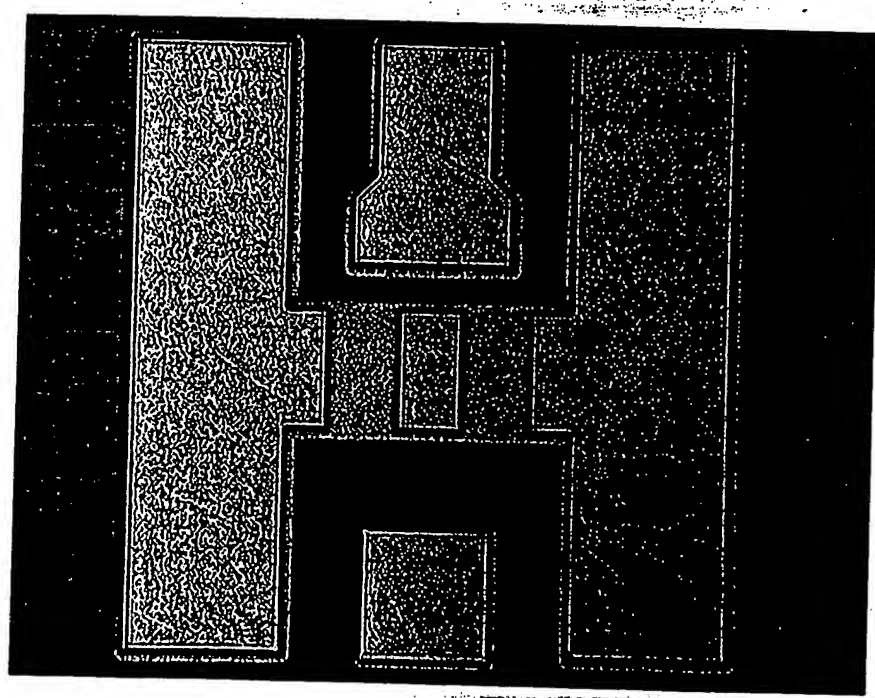


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PAGE 24



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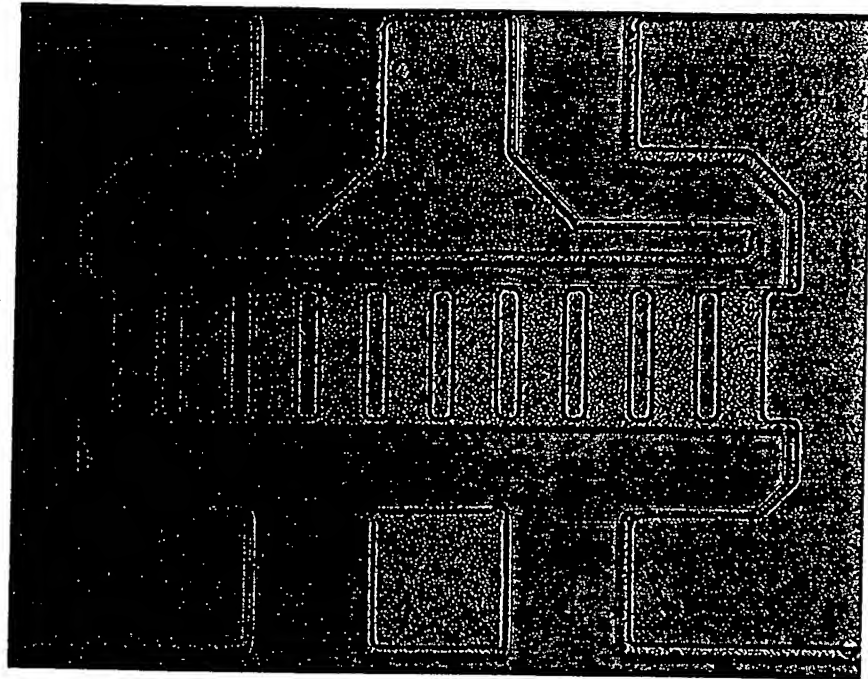
Read and Understood By

Signed

Date

Signed

Date



Noise measurement w/ P. Harvey.

Can 253

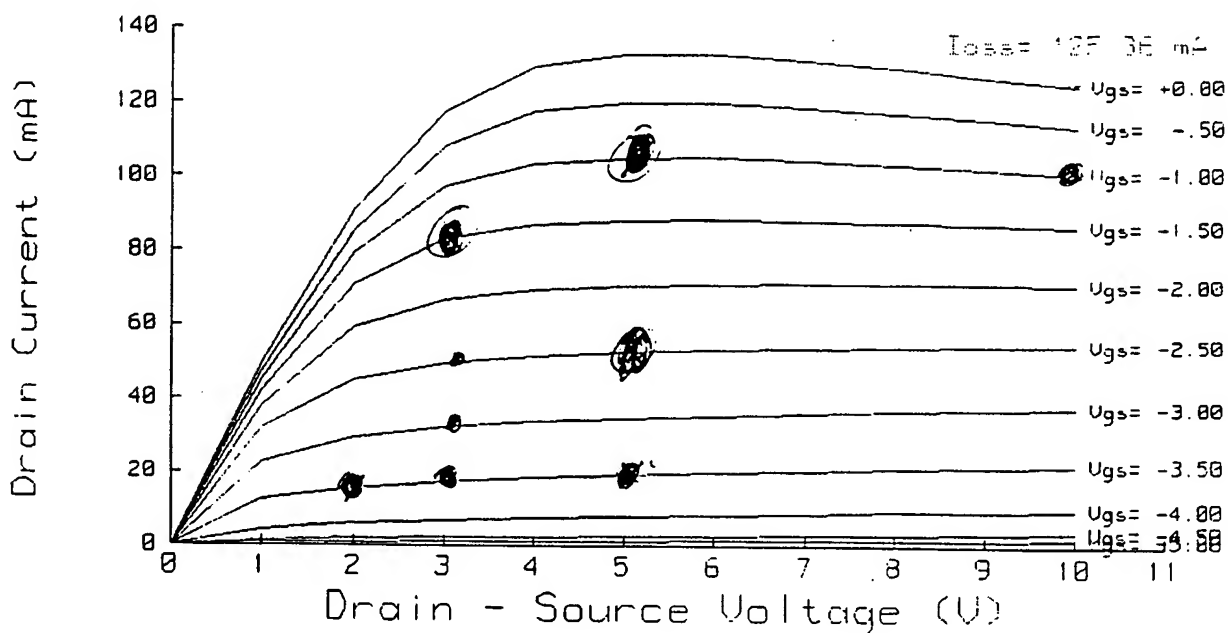
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$V_{ds} = 10V$; $V_g = -1.0V$

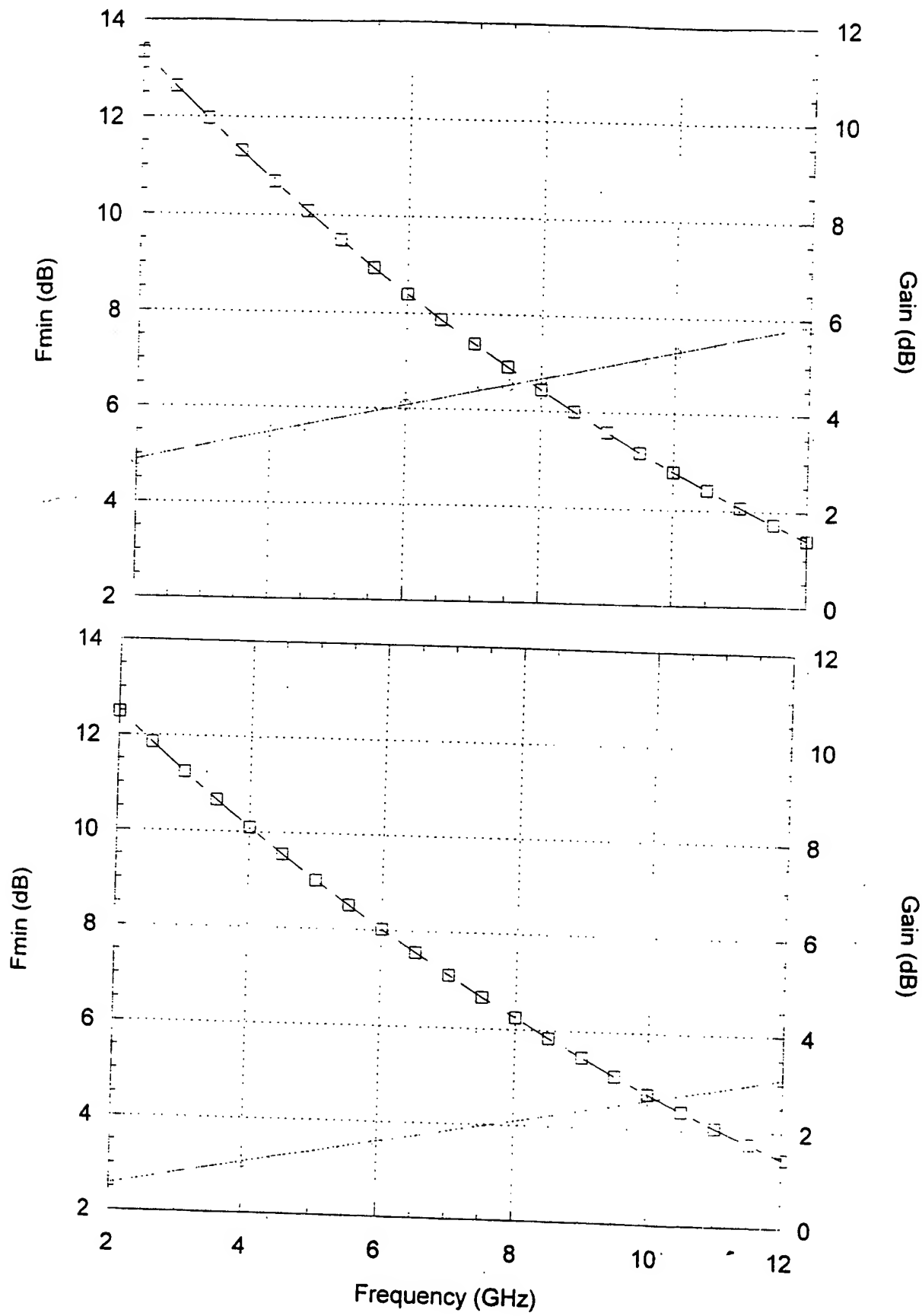
9n0190325 -

$V_{ds} = 3V$; $V_g = -2.5V$

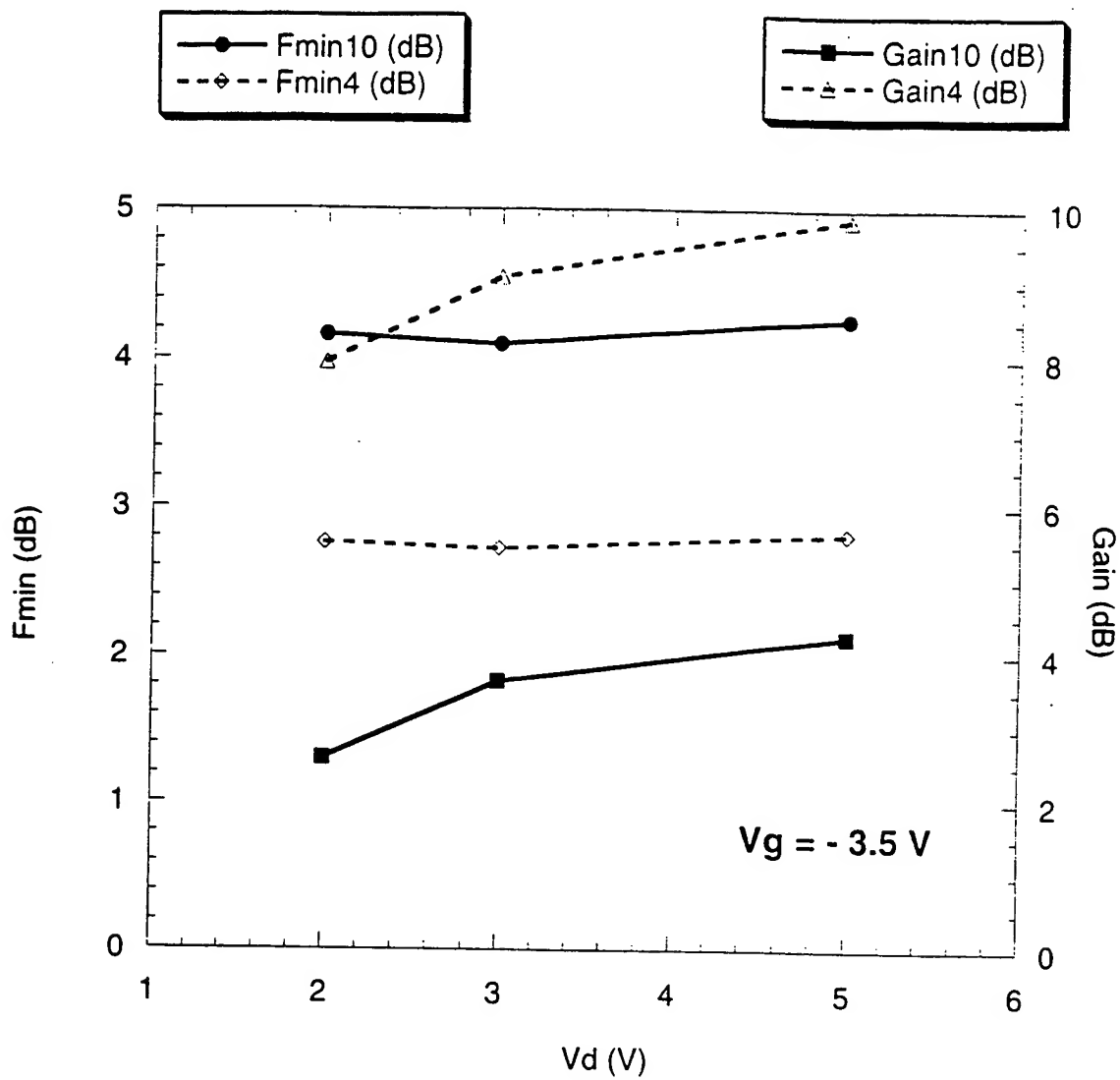
DRAIN CURRENT vs. DRAIN-SOURCE VOLTAGE

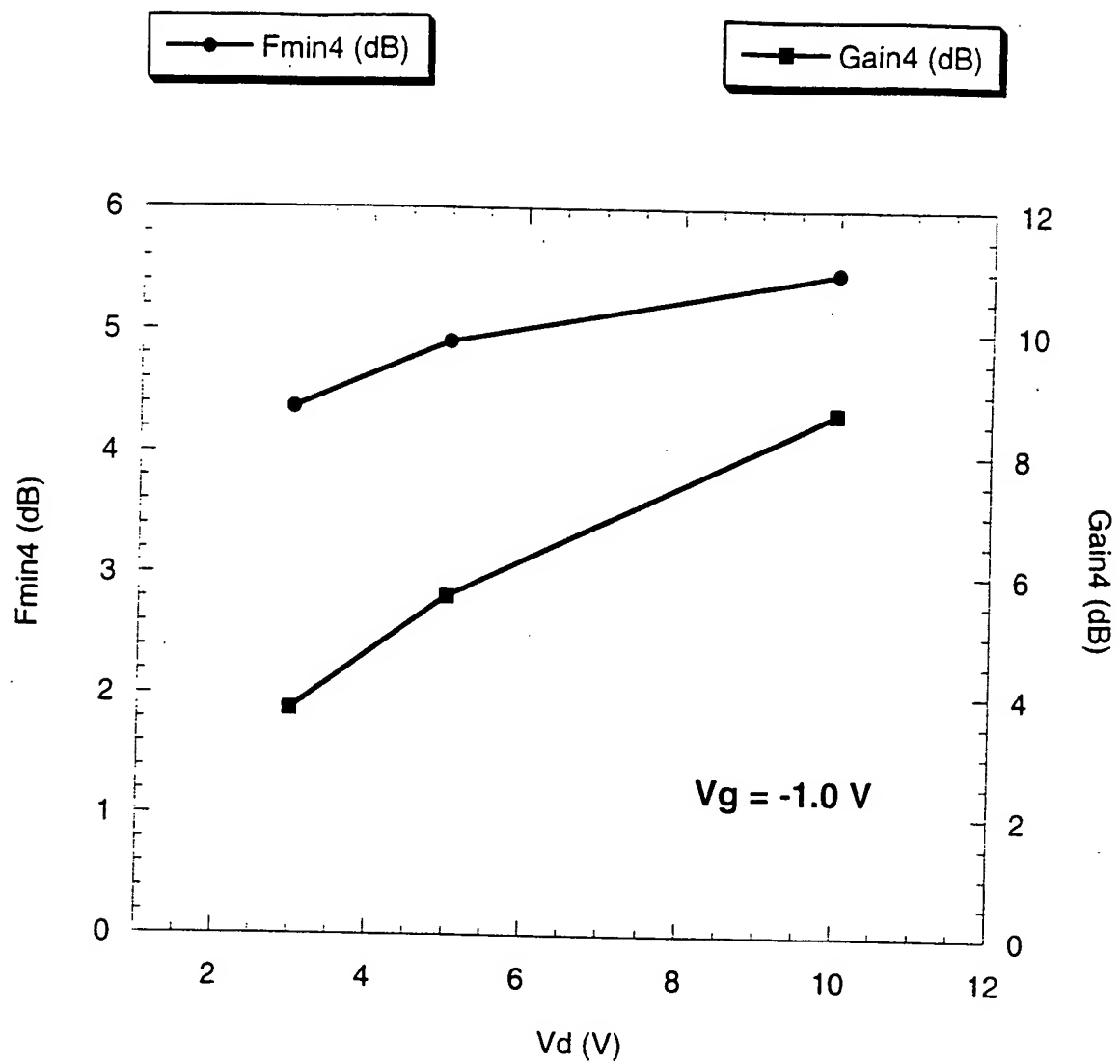


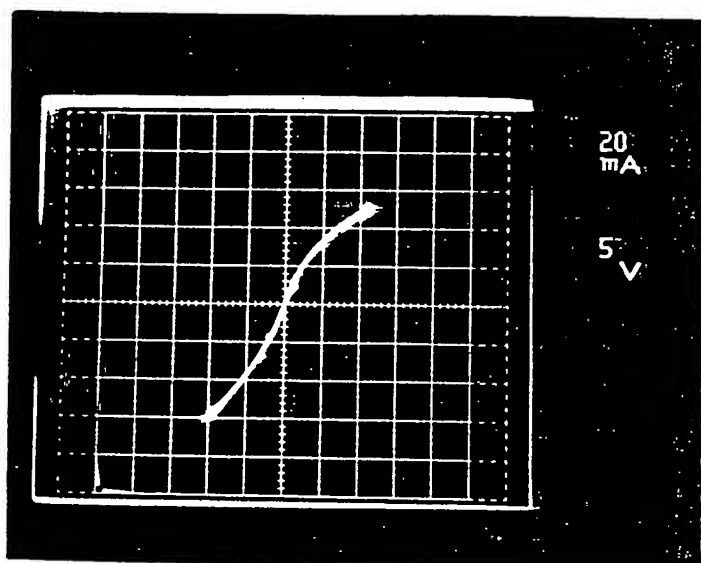
12V-4



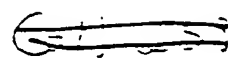
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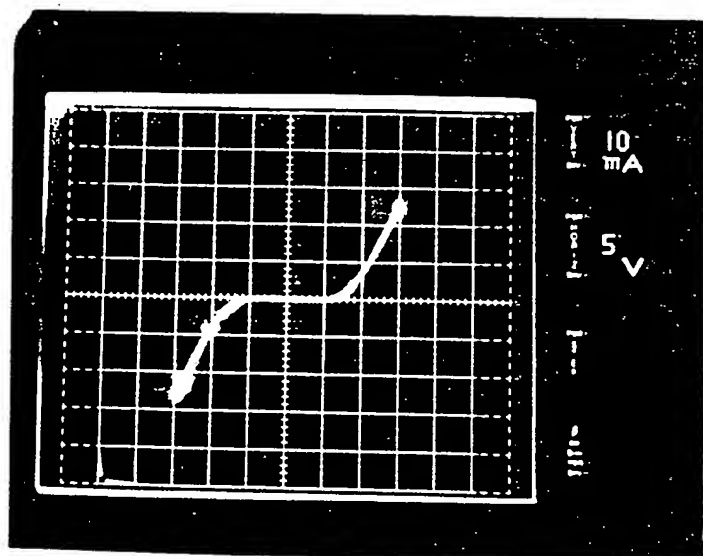




Prior to annealing.
after metal deposition



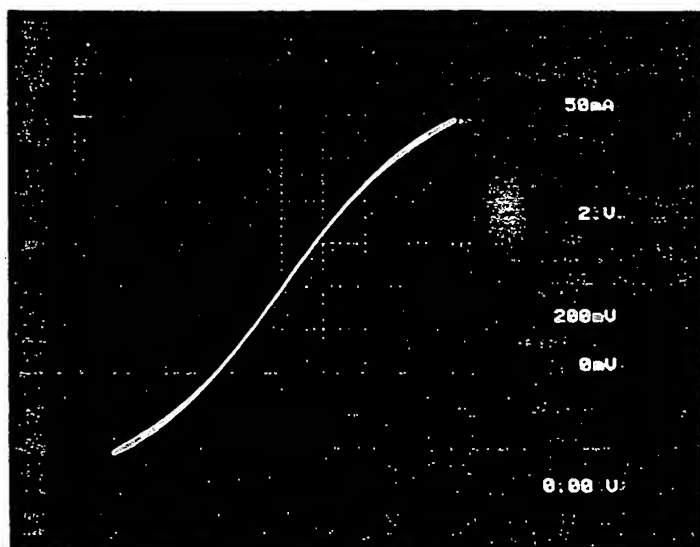
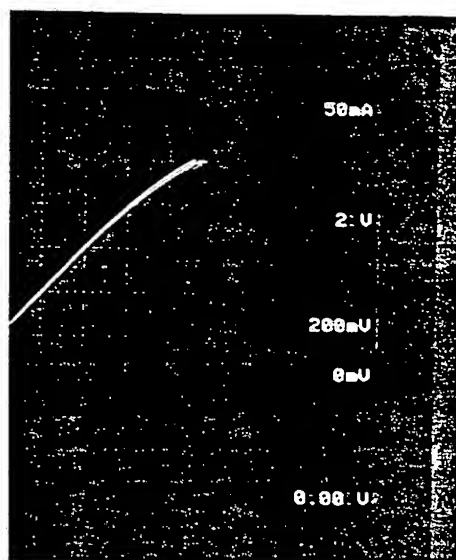
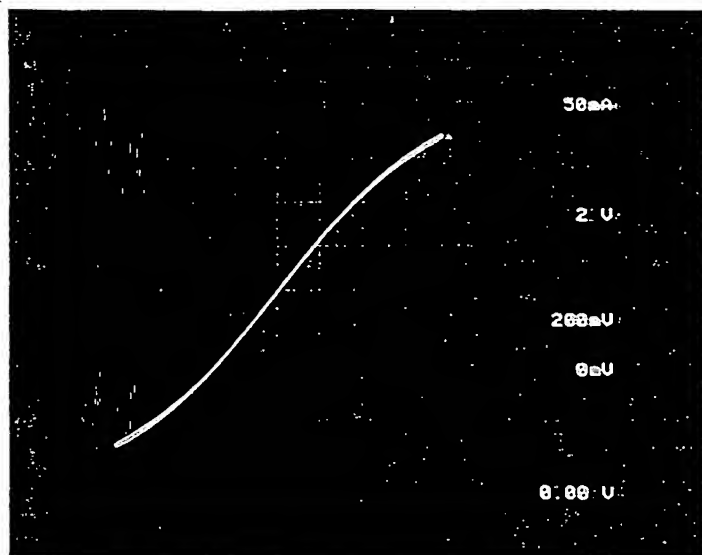
Gain 250

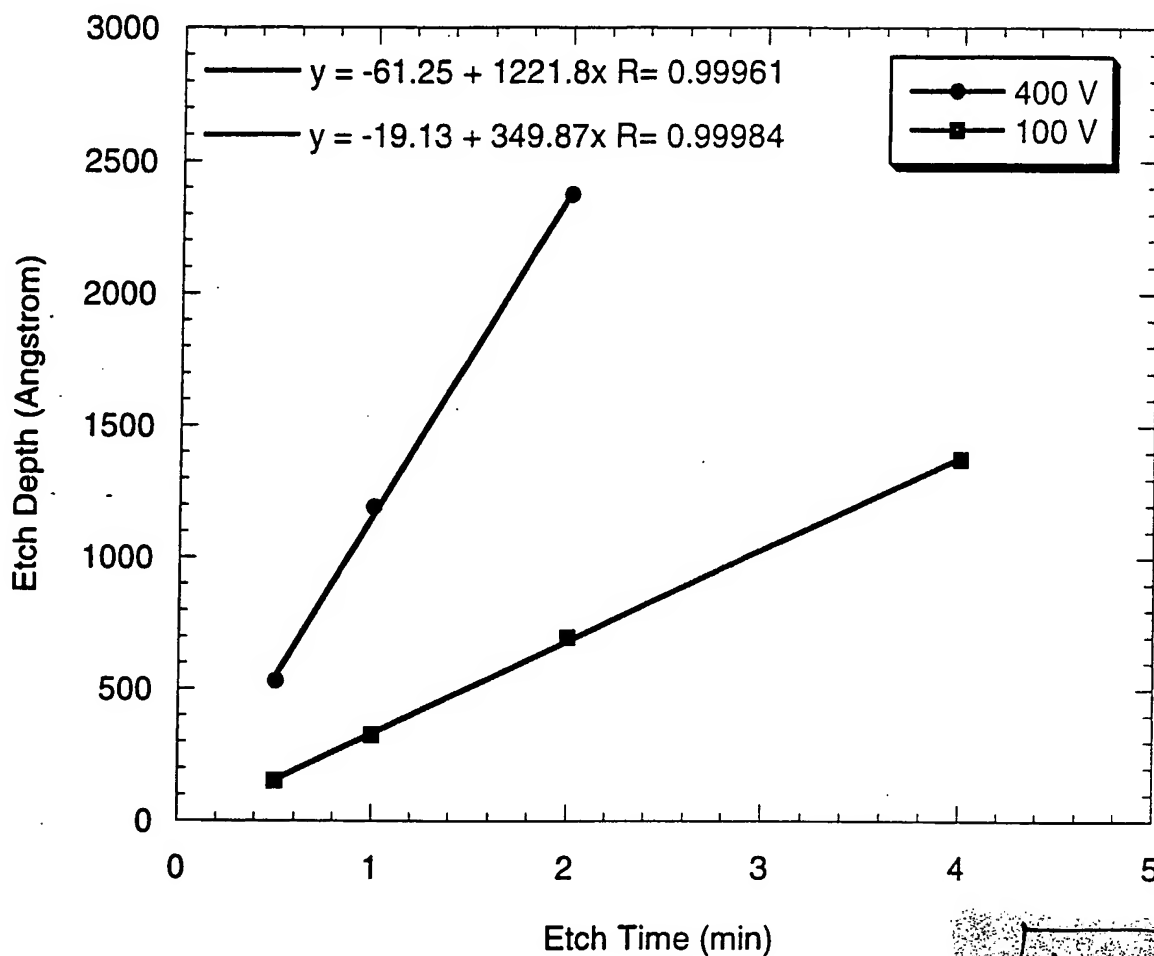


Gain 285.

Signed

Date





PAGE 16

Etching conditions:

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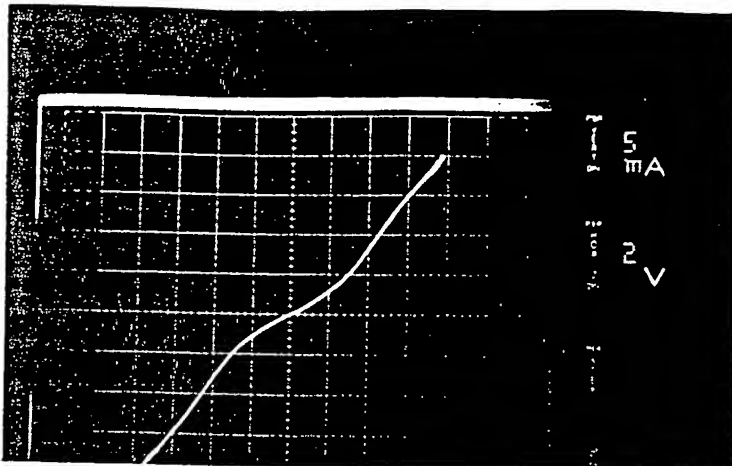
Ch. Flow: 10 sccm

Chamber Press: 5 mT.

Power @ 400V - 185-191 W; $P_{\text{reflected}}$ - 5 W.
@ 100V - 44-47 W; P_r - 2 W.

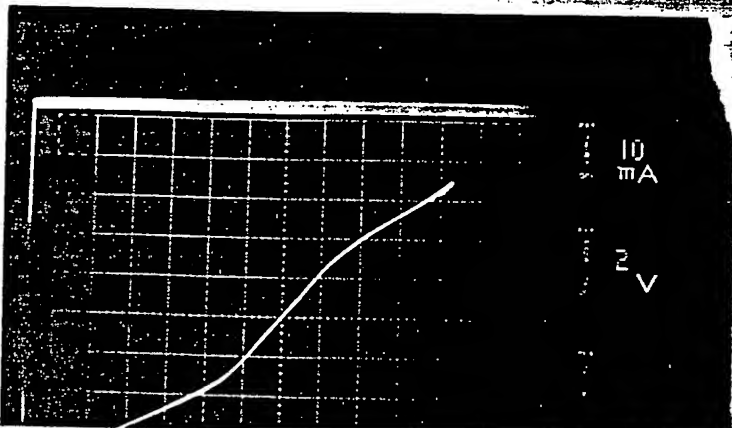
H = 3.2" high end.

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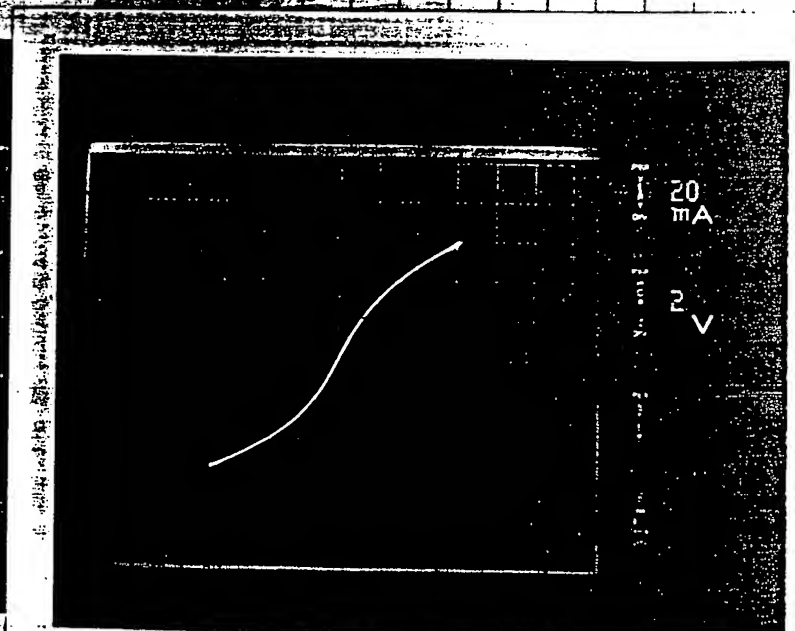
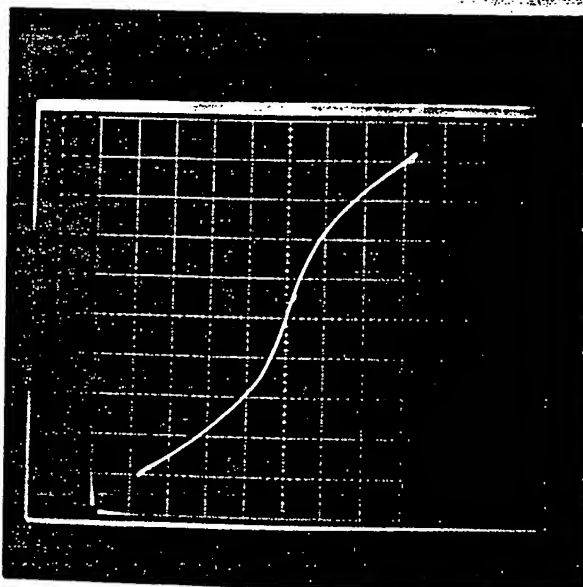


← No Etching.

PAGE 17



← 40" Etching.



1' 20" Etching

2' 0" Etching

Continued on Page

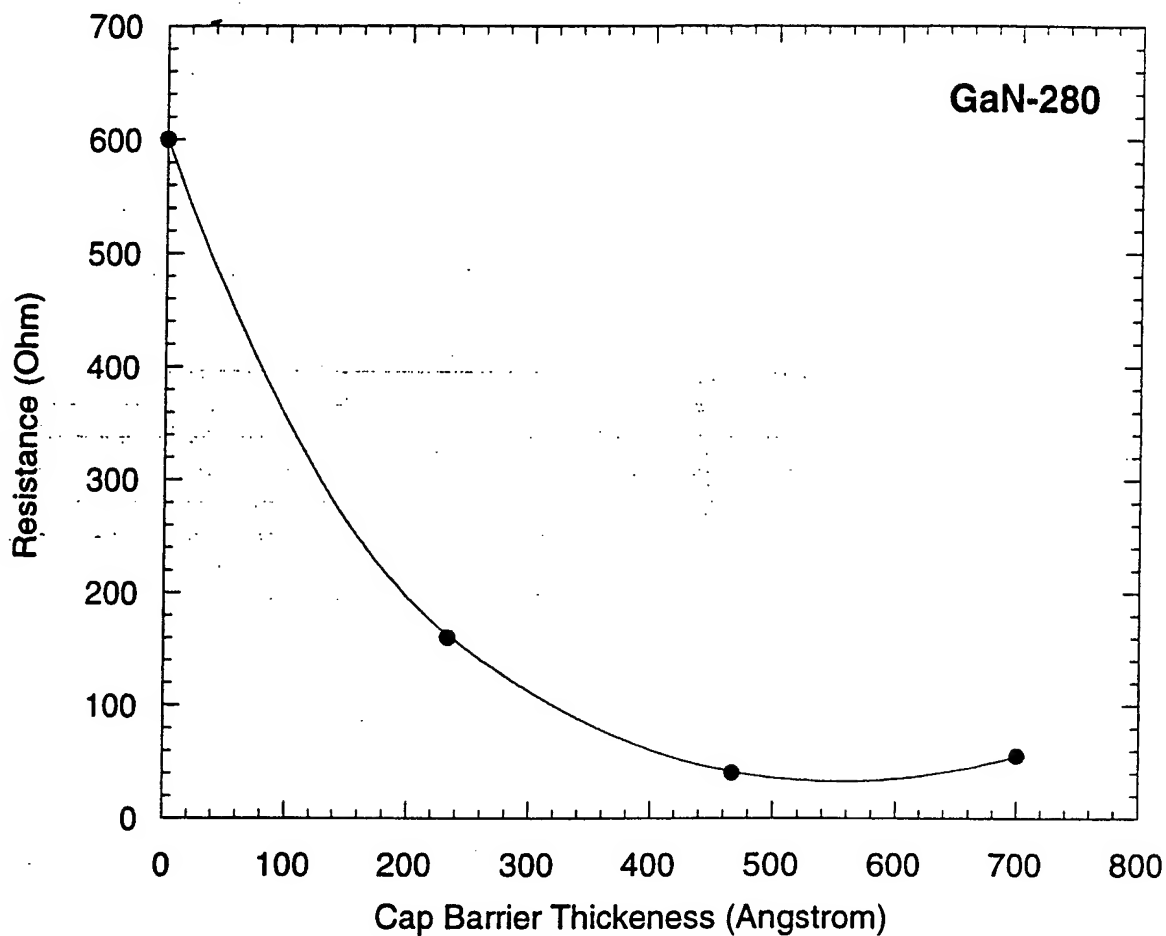
Read and Understood By

Signed

Date

Signed

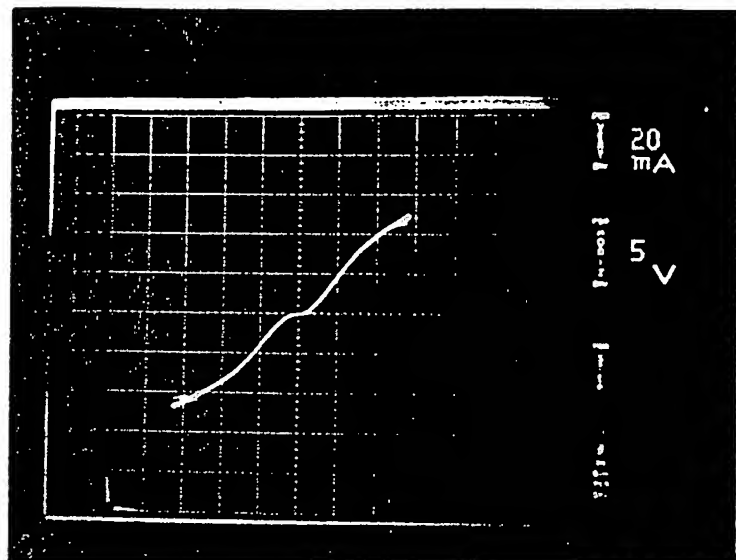
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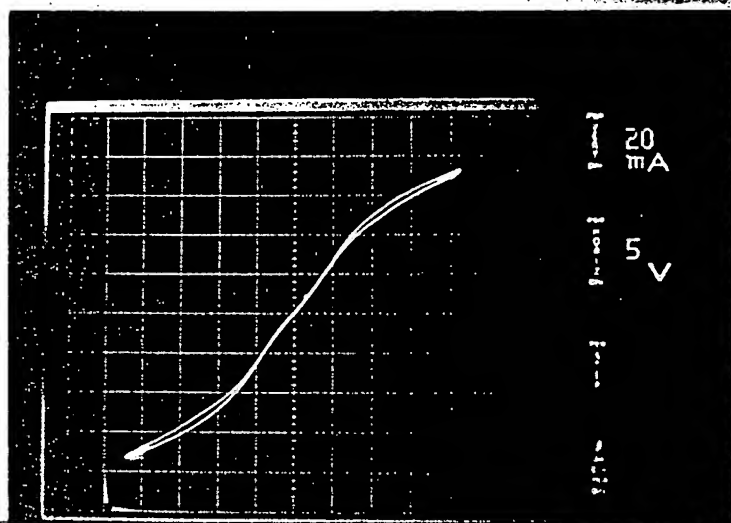
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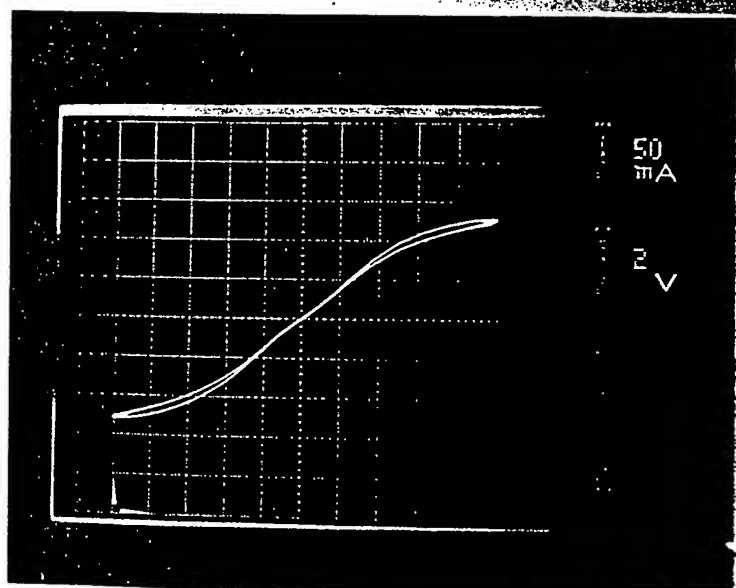
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← 40" Etching



← 1'20" Etching



← 2'0" Etching

PAGE 18

Continued on Page

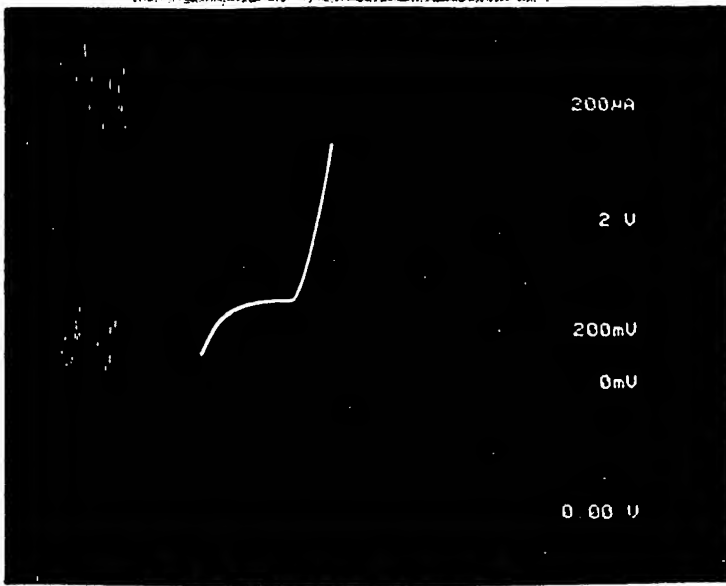
Understood By

Signed

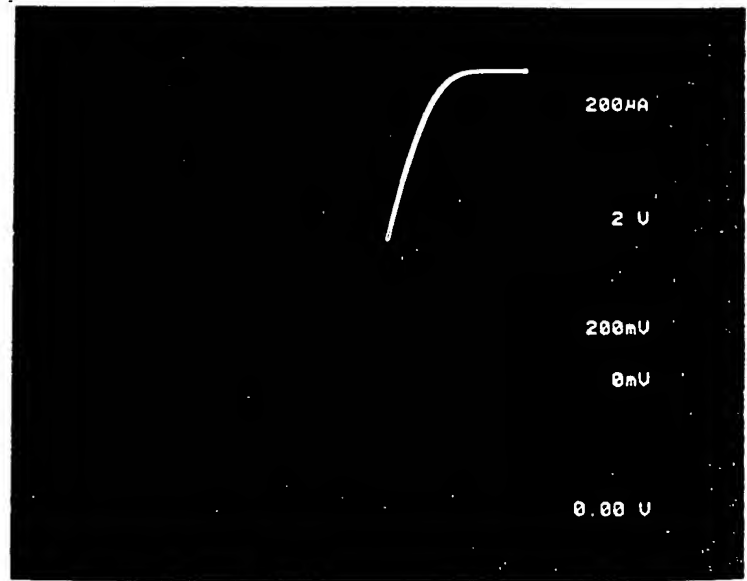
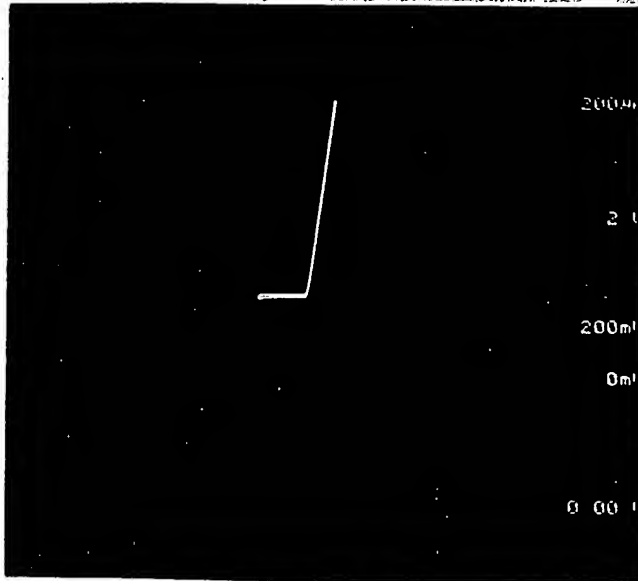
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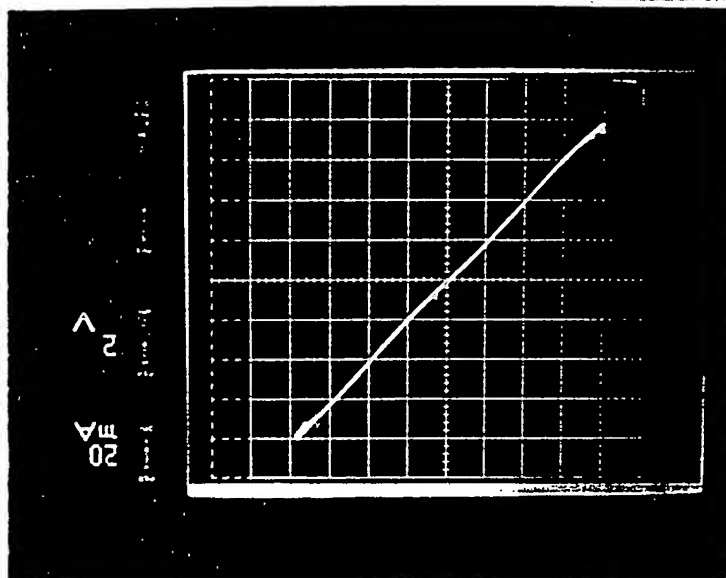
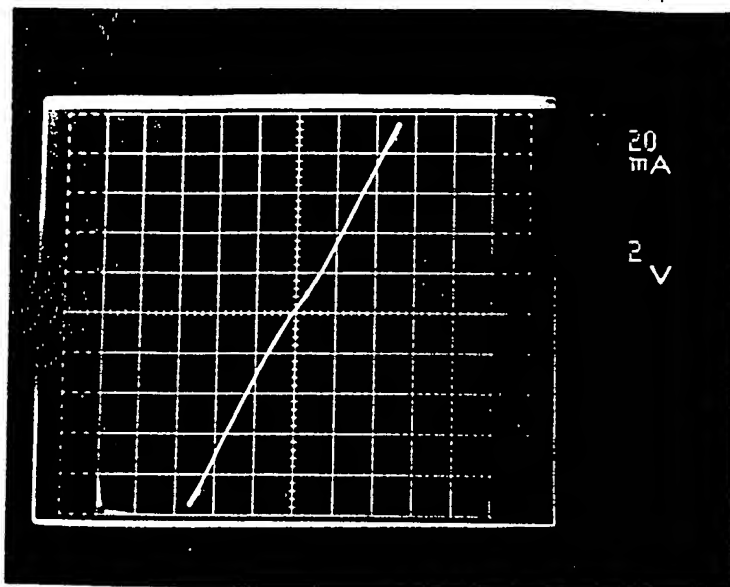
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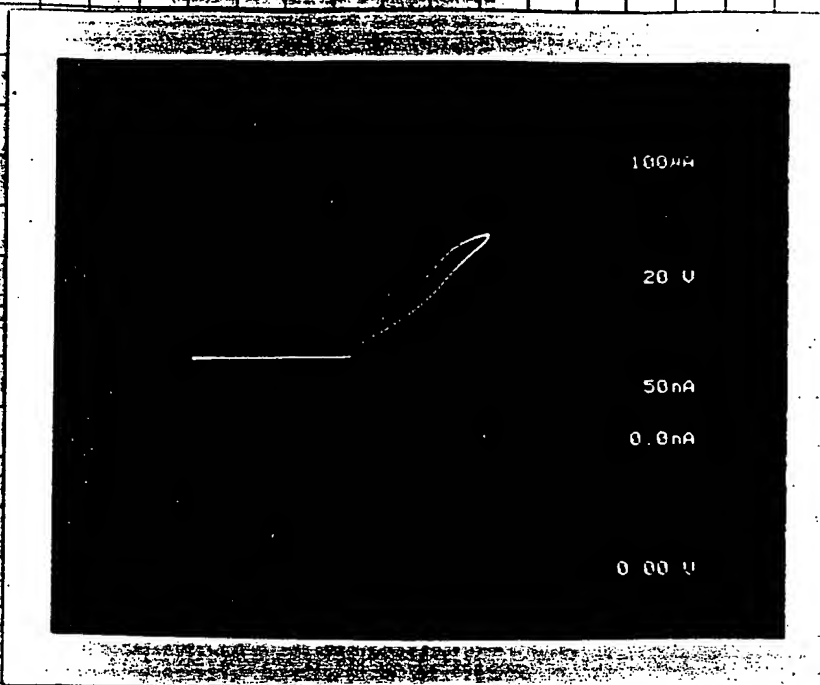
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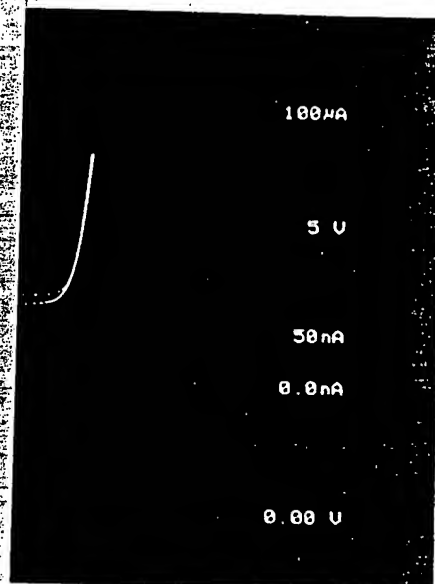
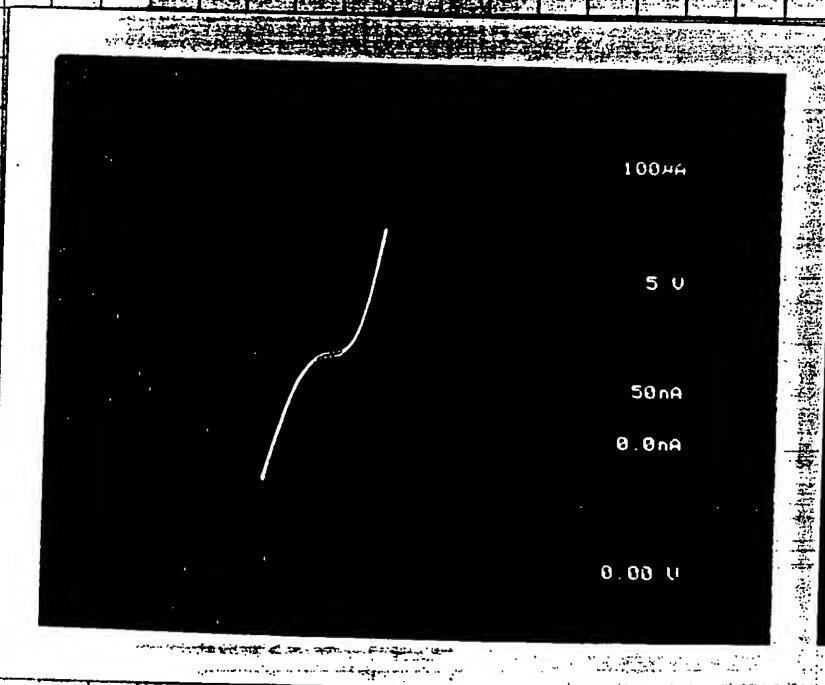
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Date



981021 FE



981022 FB

981022 FB

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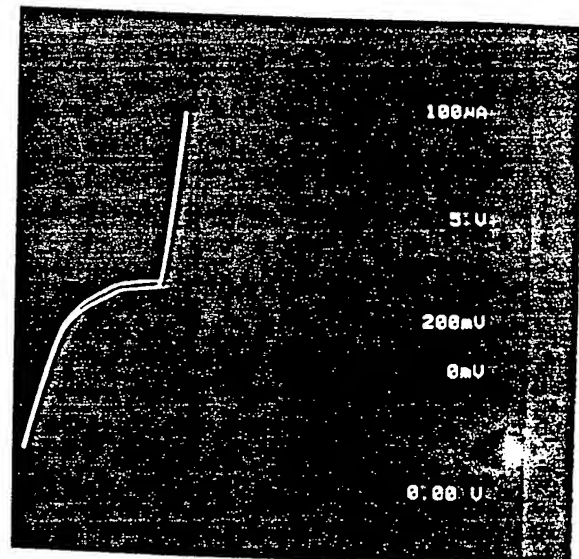
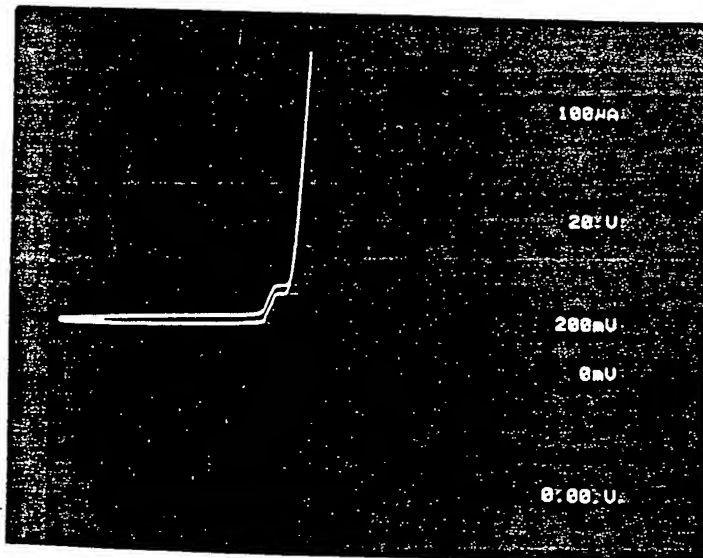
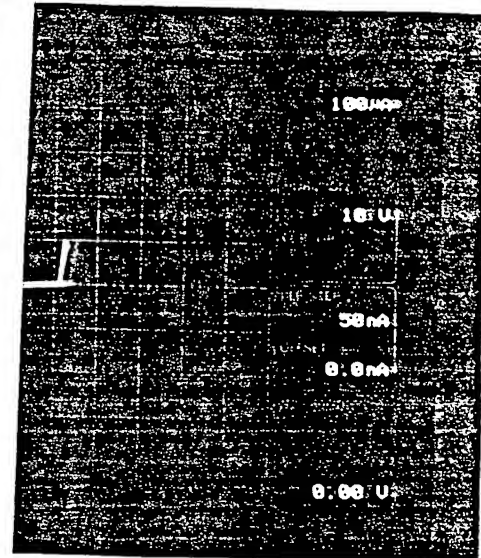
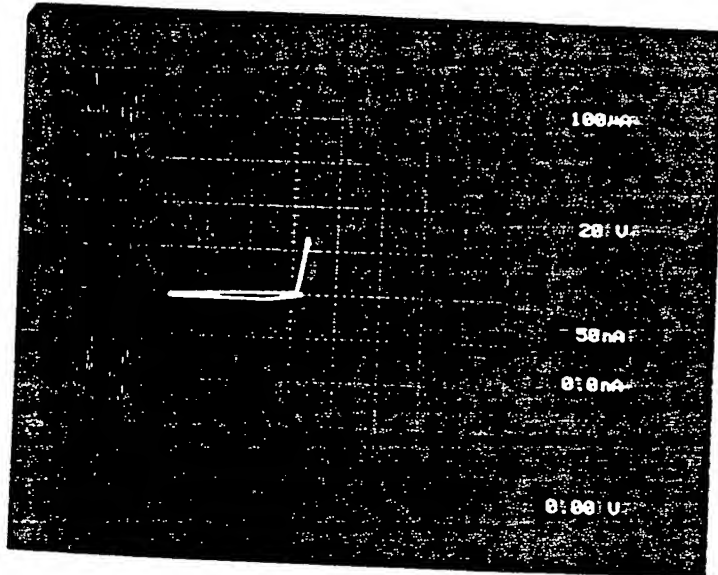
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Date _____

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Date _____

← 981021FD →



GRN-298

GRN-295

Signed

Da

GUN 415

TRAFFIC LIGHTS

GUN 420

cuplike

415



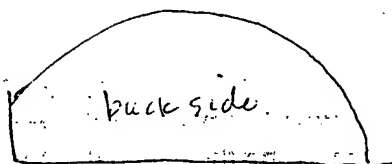
Flat

415

No flat

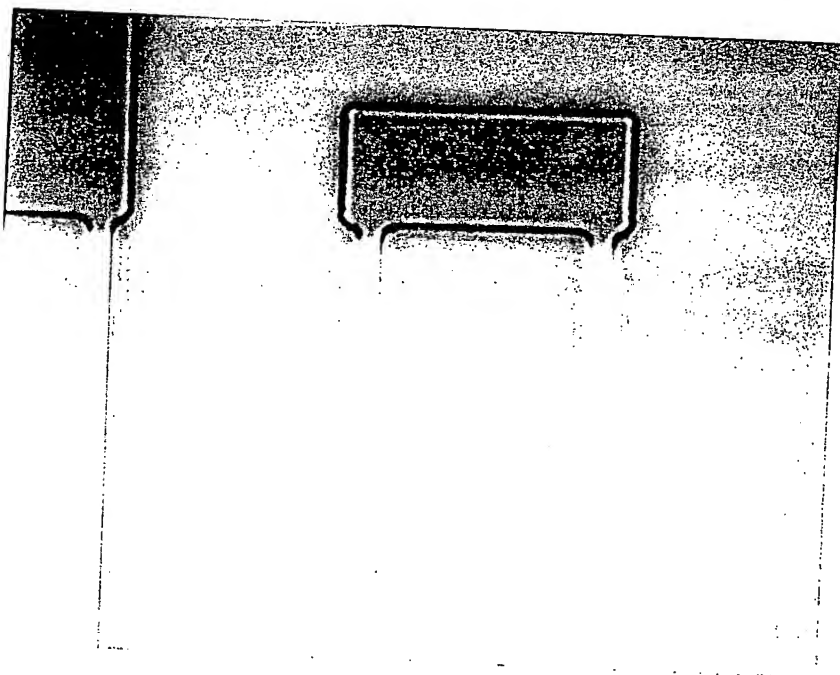


WT 990469A1



GUN 419 = No Flat

Saphar



Gun 415 lot 15 chance

7-13-99

Gun 415 lot 15

to be sure to apply again
gaskets around base of
light, make a flat seal
on the inside of the light
housing.

Check the light
to make sure it is
properly aligned.

Check the light
to make sure it is
properly aligned.
Check the light
to make sure it is
properly aligned.

Check the light
to make sure it is
properly aligned.

Check the light
to make sure it is
properly aligned.

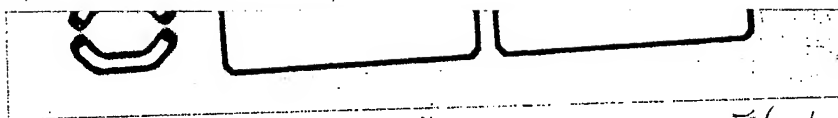
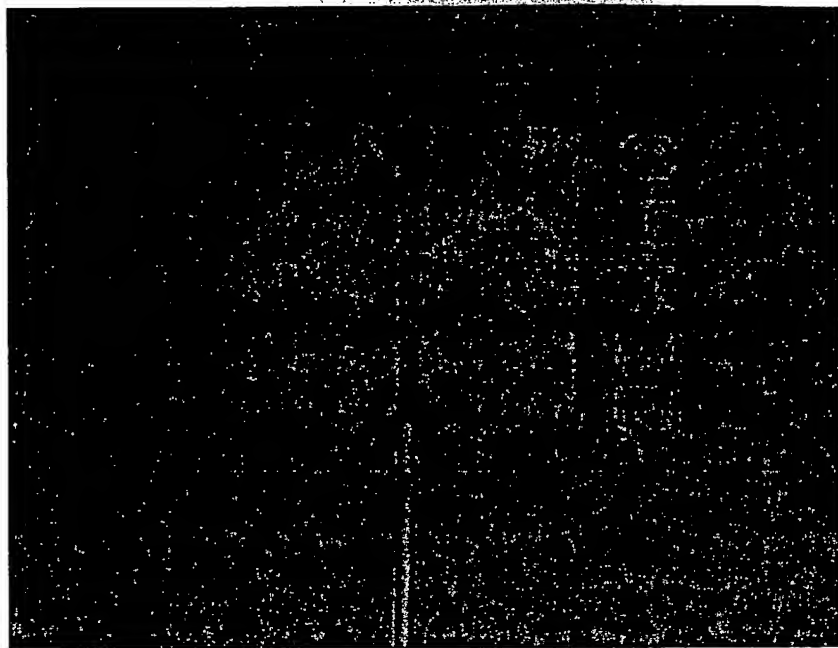
Check the light
to make sure it is
properly aligned.

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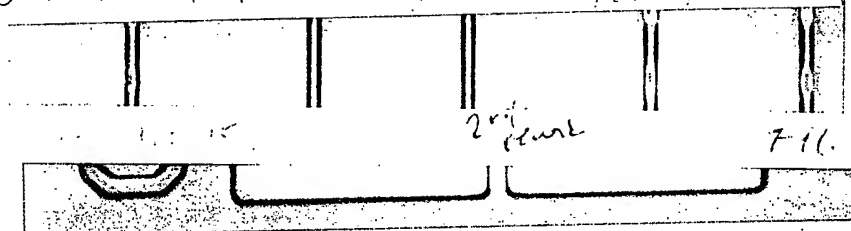
Check the light
to make sure it is
properly aligned.

Check the light
to make sure it is
properly aligned.



EN 10 419 Change 7/21/99

EN 419 N. Flat Change 7/21/99



2nd piece 7-16

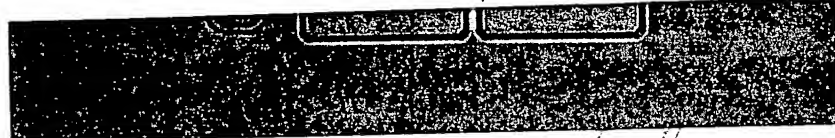
WT 9414061 10115 3mm PR 2nd piece 7-16-99



WT 9414061 10115 3mm PR 2nd piece 7-16-99

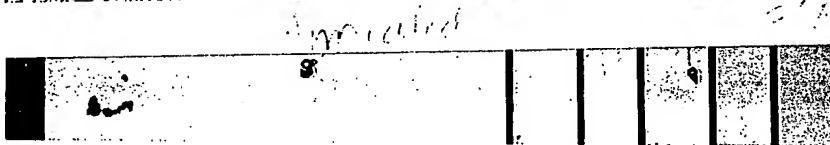
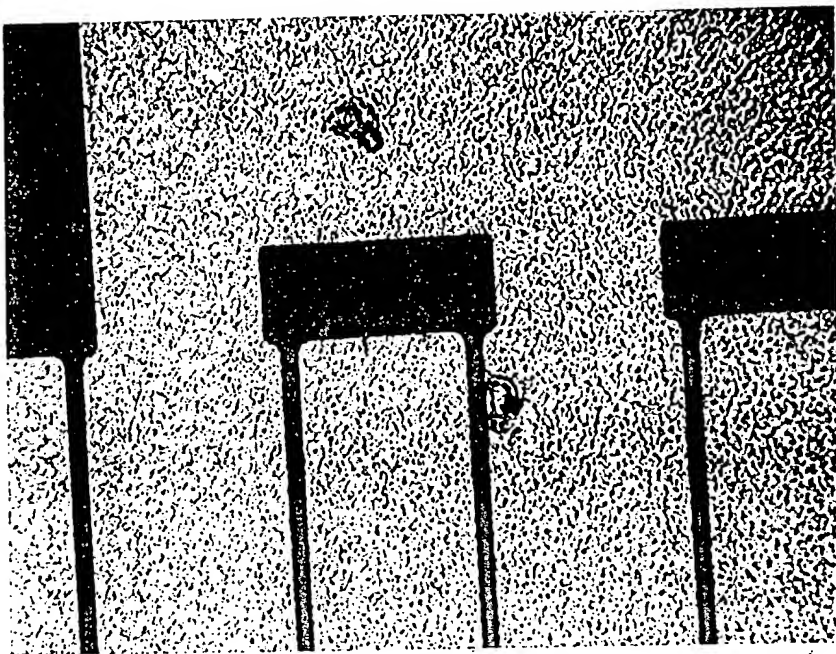


WT 9414061 10115 3mm PR 2nd piece 7-16-99



EN 415 10115 PR-B = 1'12"

415 Flat



Exam 419 chm. scratch 7-26

Exam 419 chm. fine scratches 7-26

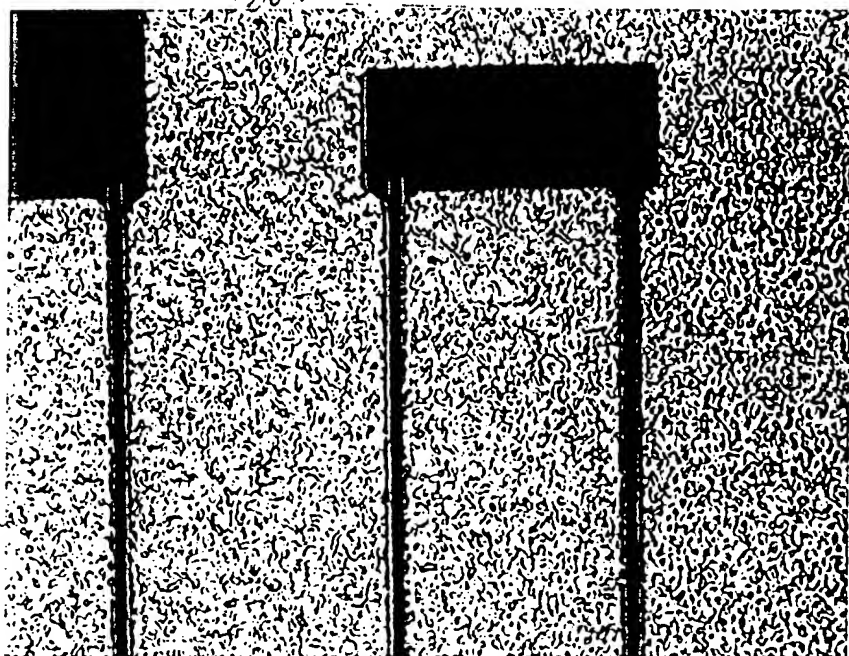
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Exam 419 chm. 7-26

Det. 419-911 lot 15 chm. 7-26

Exam 415 F & no Fk 7-26

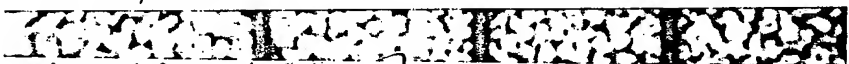
0.15mm



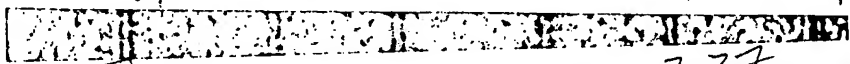
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ISO 1100
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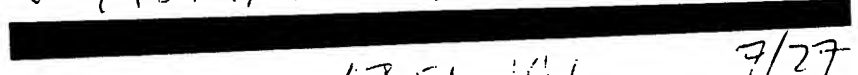
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WT 440409A 150 7-27-99

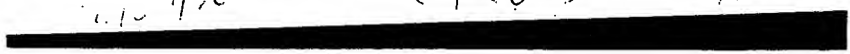


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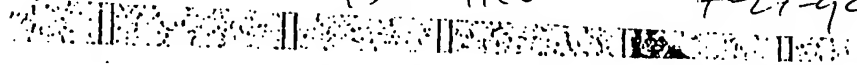
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Gum 470 150 1100 7/27



Gum 470 5050 190 150 7/27

Gum 419 150 for 1100 7-27-99



Gum 419 150 PR STRIP 1100 7-27-99



Gum 419 150 PR STRIP 1100 7-27-99

Vafer Without Traveller

This section to be completed by submitter

Date: 8-9-99

By: Paul 5001
Dany 6443

Wafer # GUN 419
GUN 420

Charge #: BD9N3AL

Mask Set Power 1

Pattern to be Exposed (ie gate layer, ohmic, etc)

gate

Required Resist Coating:

CD Target

Tolerance

0.25um

PRIORITY # 243

This section to be completed when coated

Resist Coating

PMMA

Copolymer

Date: _____

By: _____

PMMA

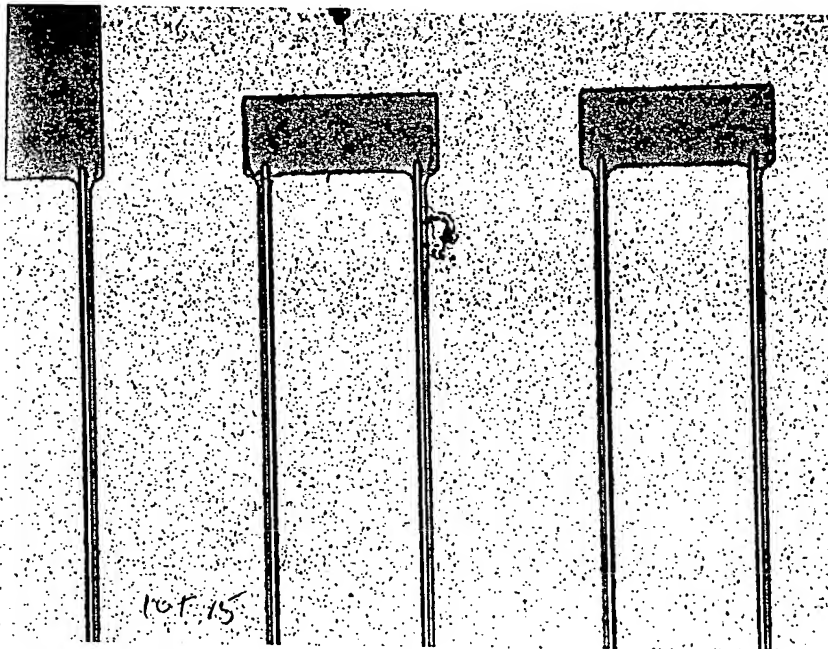
This section to be completed when exposed

Exposure Parameters

Date: _____

By: _____

Pattern name



GUN 419 10T-15 5050 SL 8-13-99

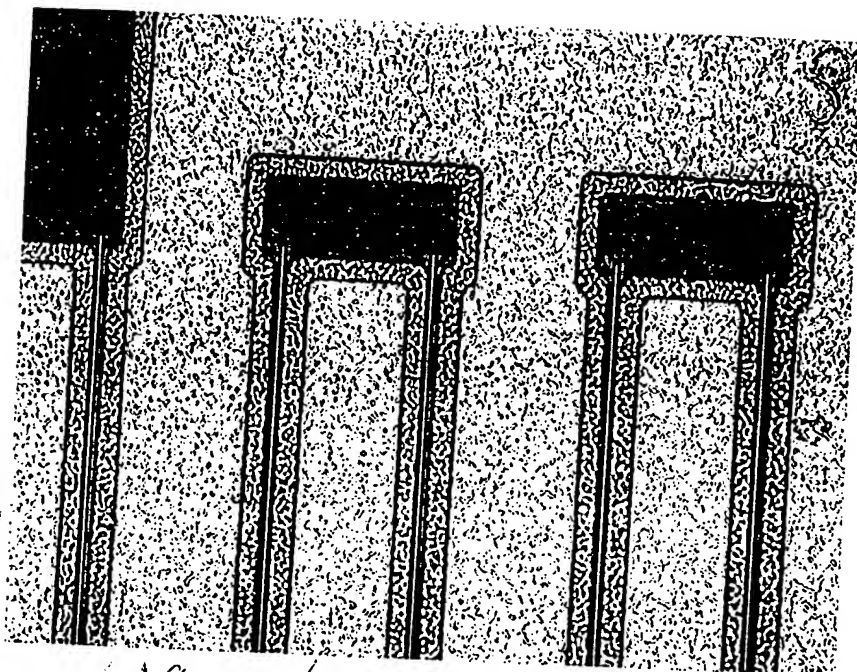
GUN 420 10T-15 5050 SL 8/13/99 gate Litho

419 10T-15 gate Litho 8-13-99

419 10T-15 gate Litho 8-13

IPA

IPA



5071
24

479 O/L STAP 1-11-94

8-17-94

420 O/L LITR

8-17-94

420 O/L PR 5050: 84

8-16-94

64N 417 O/L PR

8-16-94

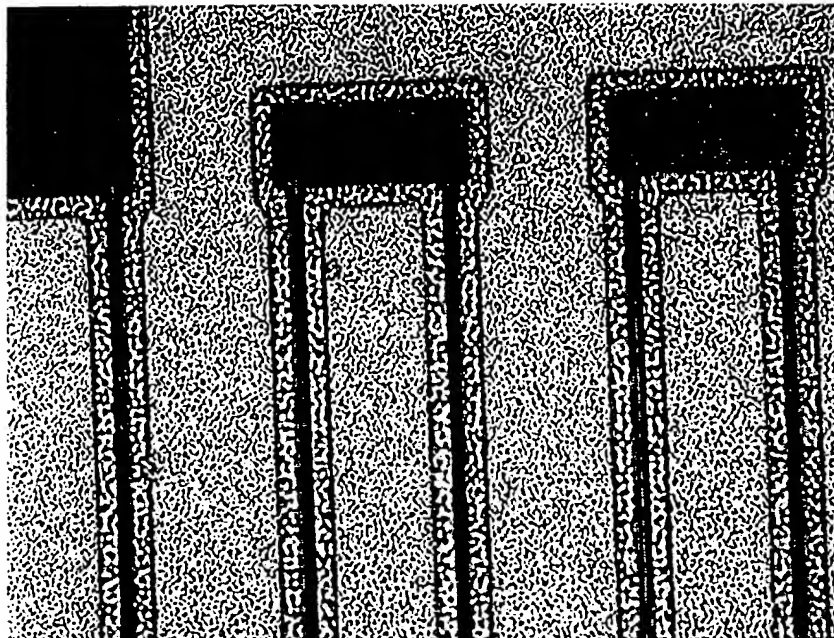
WT 99 0406 A1 O/L

8-11-94

17-15 415 1-11-94

7-21-94

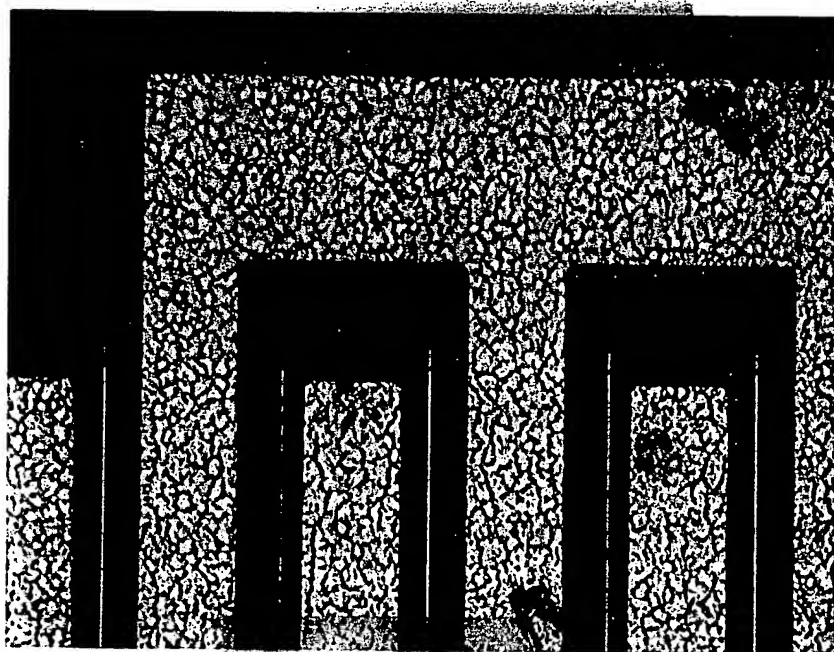
5000
60



Gun 420 lot 15 Bldg 300'c 2 hrs 8-17-99



Gun 419 lot 15 Bldg 300'c 2 hrs 8-17-99



Gun 415 NF O/L L14-10 8-17-99

Assume

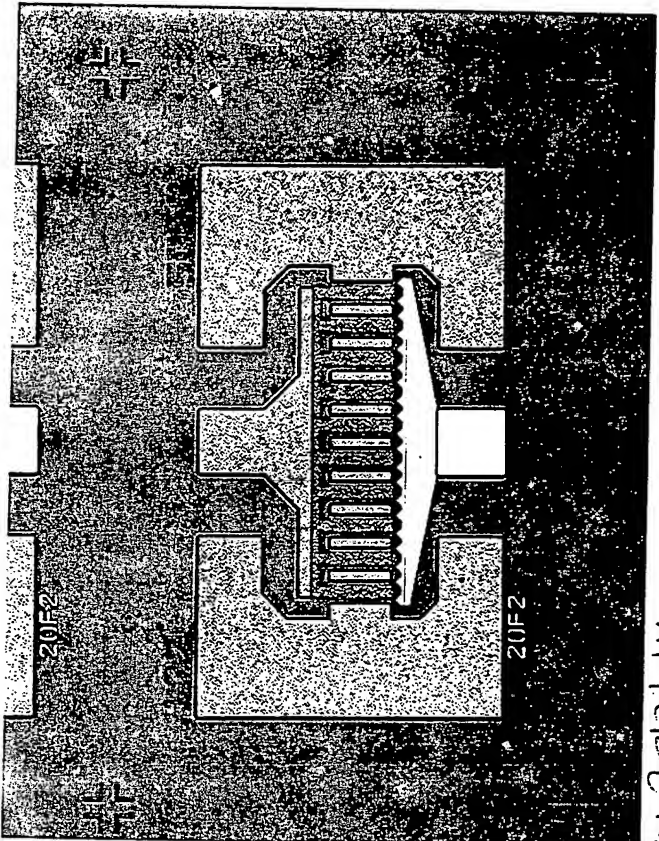
8/17/99

Before ETCH

11:15

AFTER ETCH

12:15



C/# B D 9 N 3 6 9 1

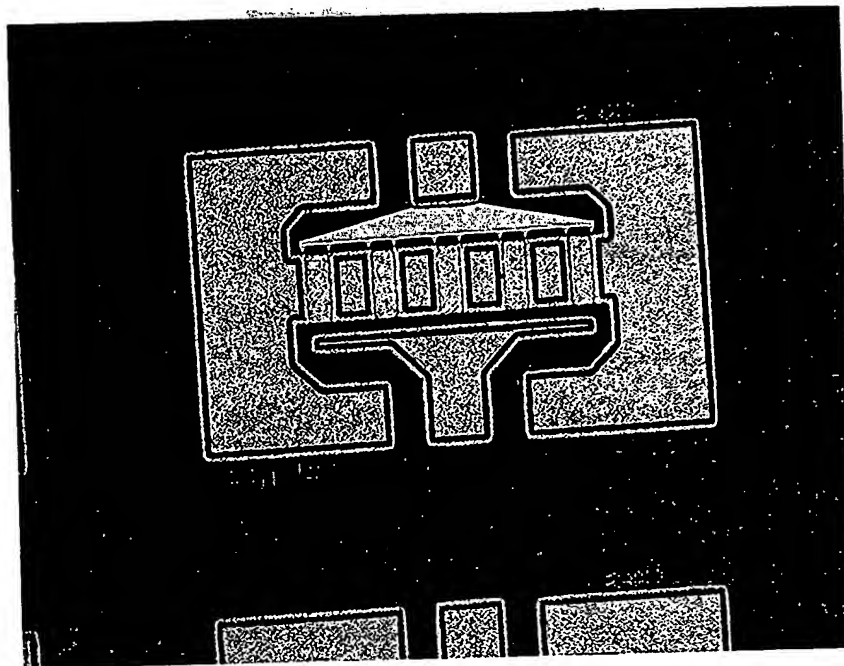
Sample: WT 99040941

Assume: Please use ICP to etch 2-3 μ m Polyimide (PI) from inside bottom area (X) marked where end point

Left
15

FOR Rosanna
Steve T

8/20/99



Frontside
down

WT 990409A1 before ICP

C/# BD9N36AL Sample WT 990409A1

Steve Rosanna: Please use ICP to etch Polyimide (PI)
from the field area. (X) marked where
end point can be used.

typical 10-11 turns, with 2-3 mil
overetch, total time 10-11 min.

Thanks. Please call X5443 when done &
put in "OUT BOX".

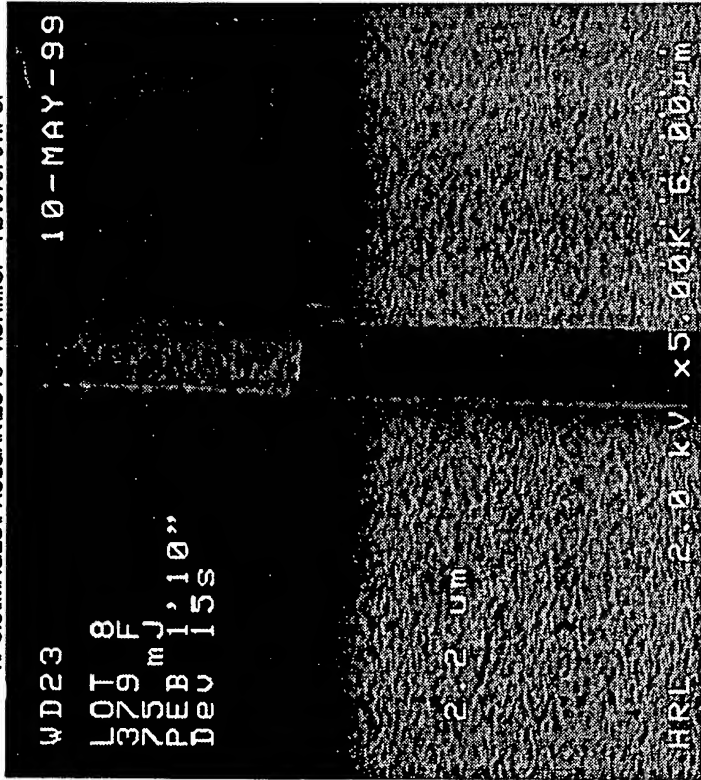
Danny

179749

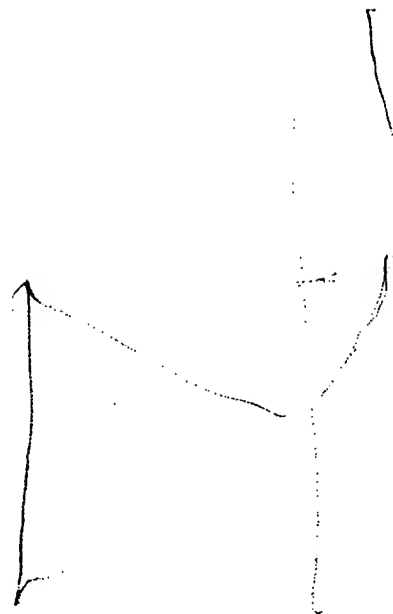
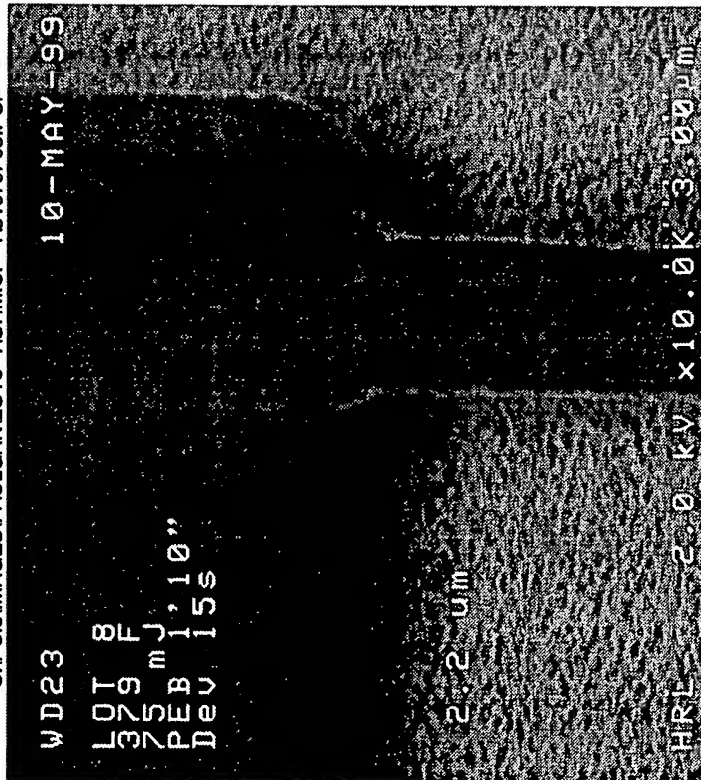
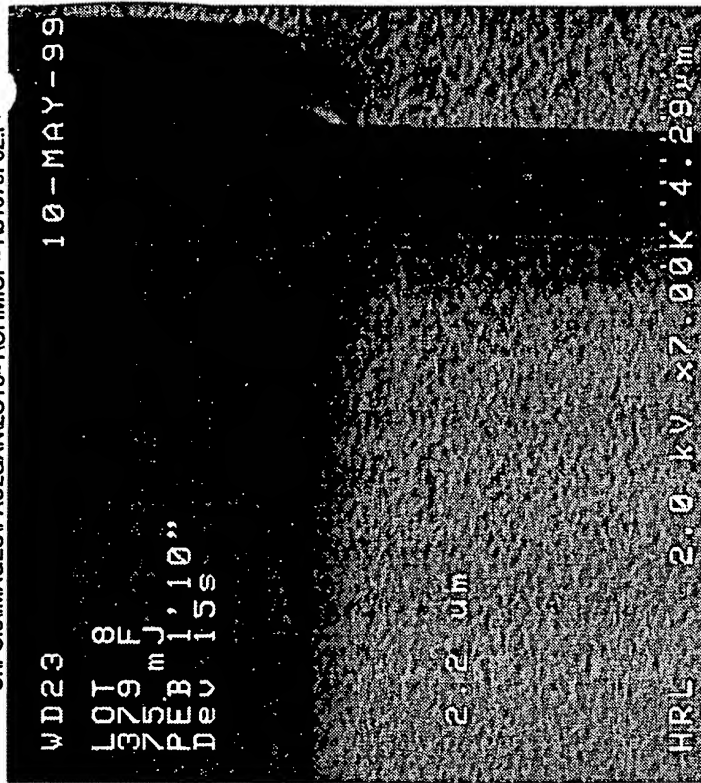
ICP: Run # 2129
10 per. 11'23"

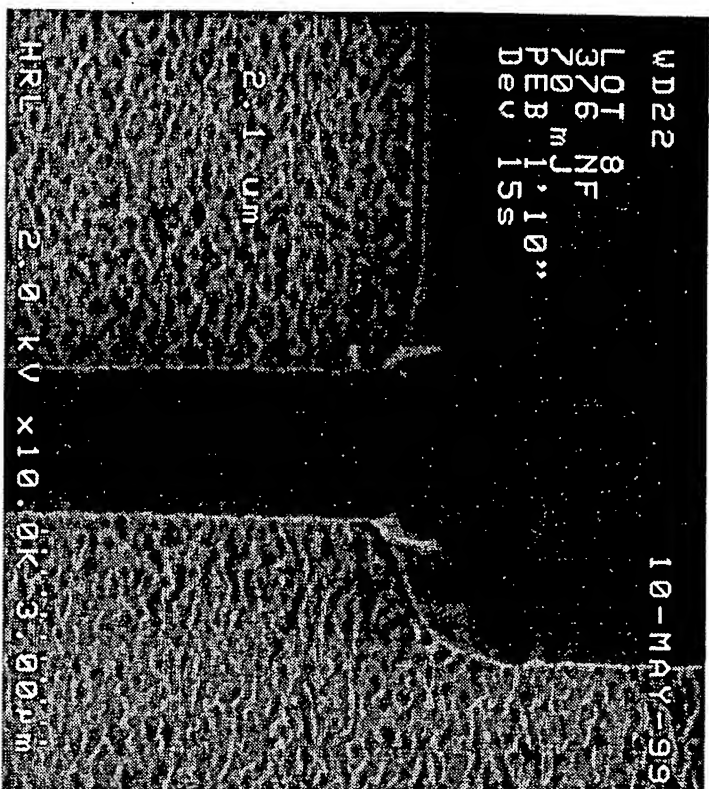
8/25/99

ICP has been down

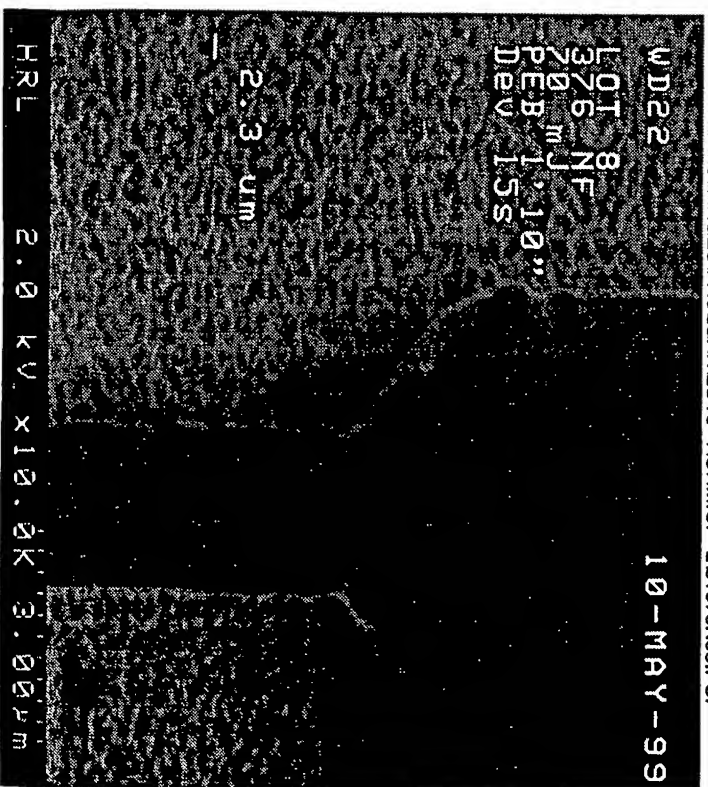
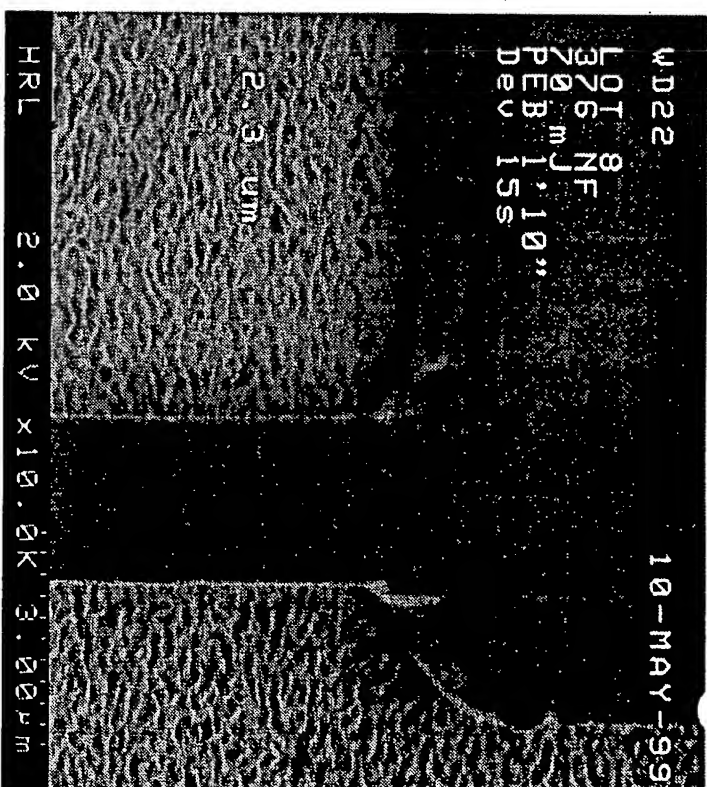
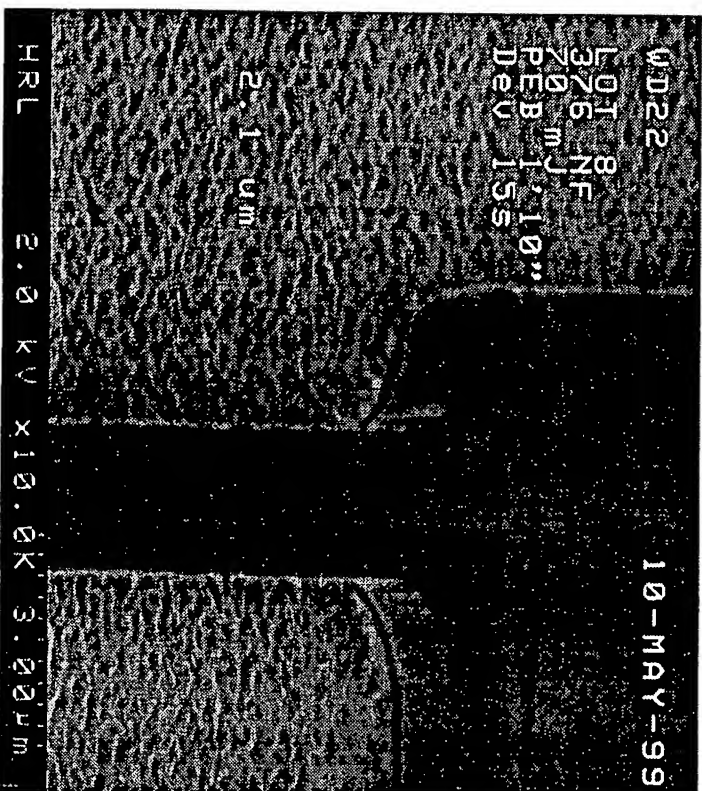


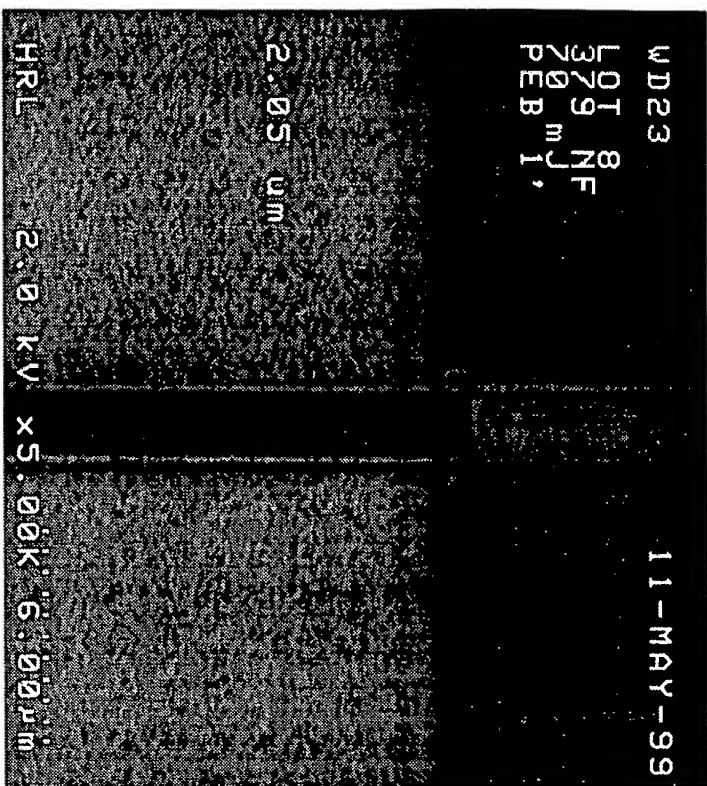
75 mJ
w/ hand
can't tell
profile too
percentant
contact
but
unseen





70wJ
contact
= softer
still
profile
non-
penetration
contact
pot
unifarm

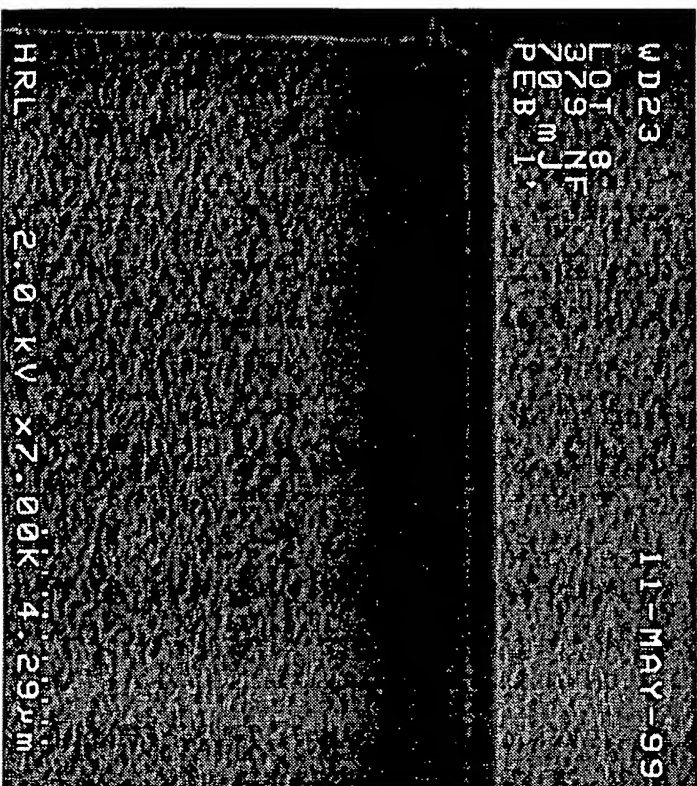
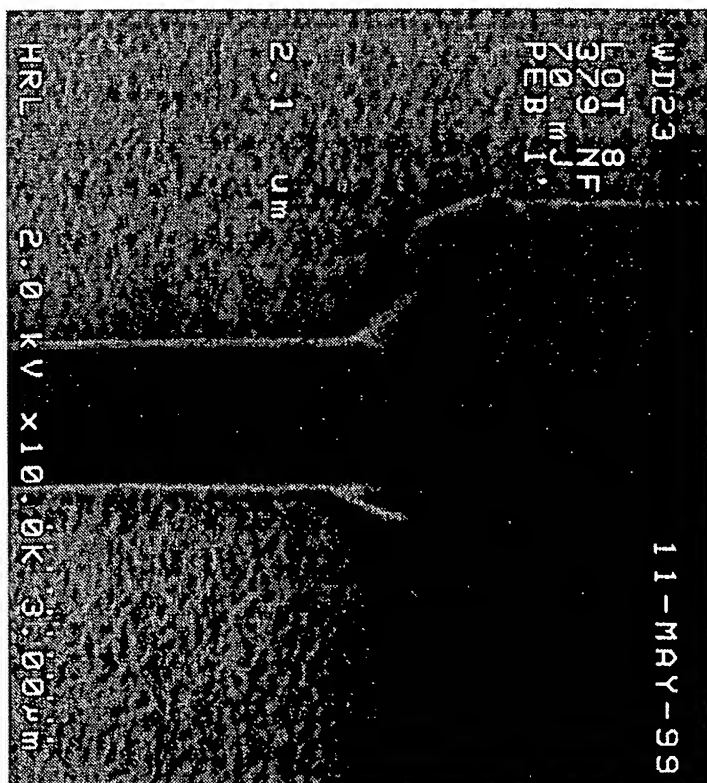




5-11-19

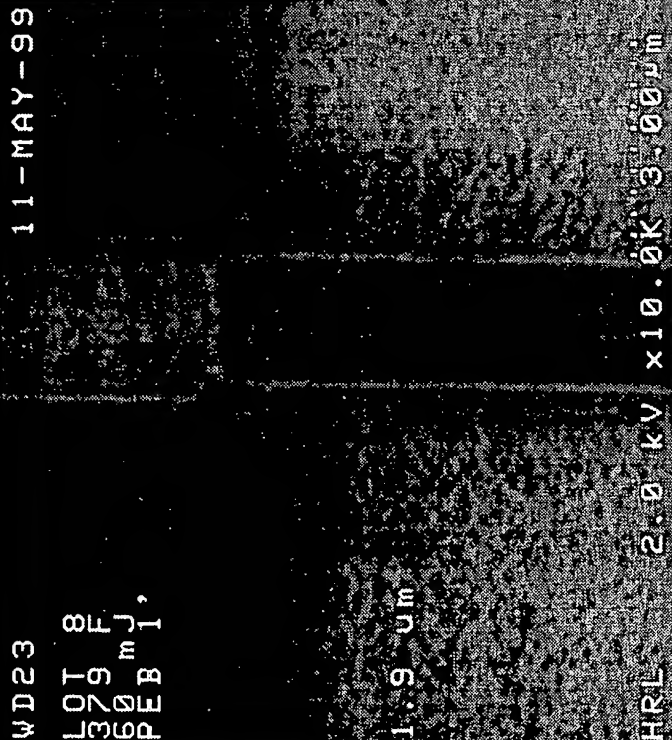
379 mJ

few
PEB = 1'

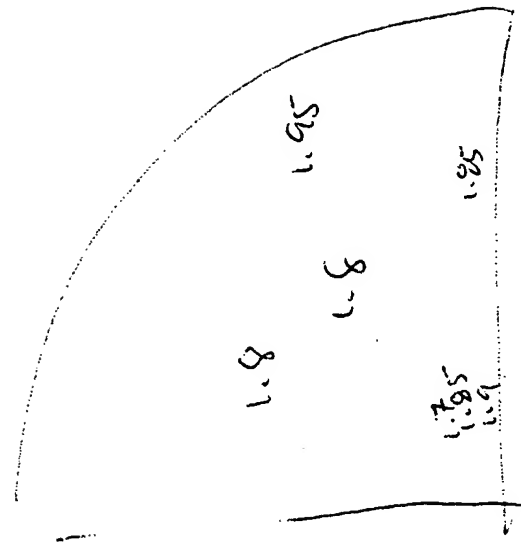
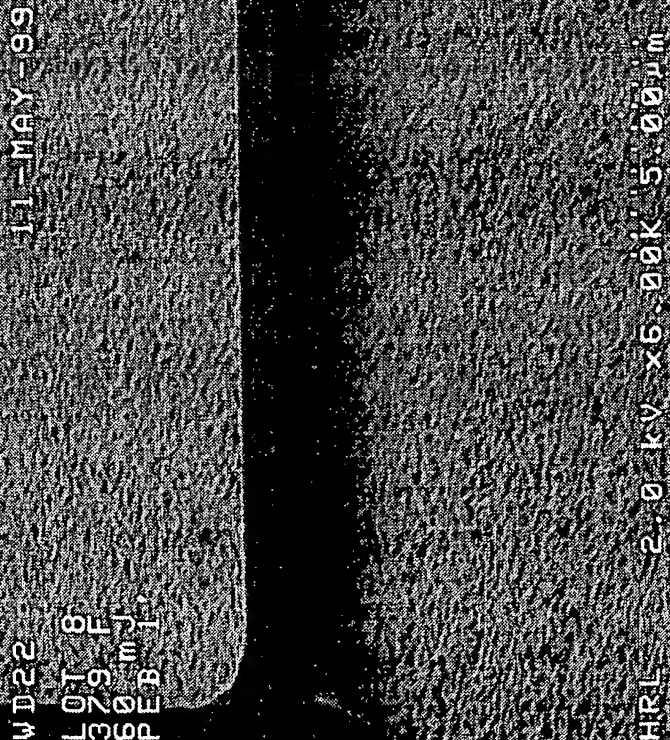
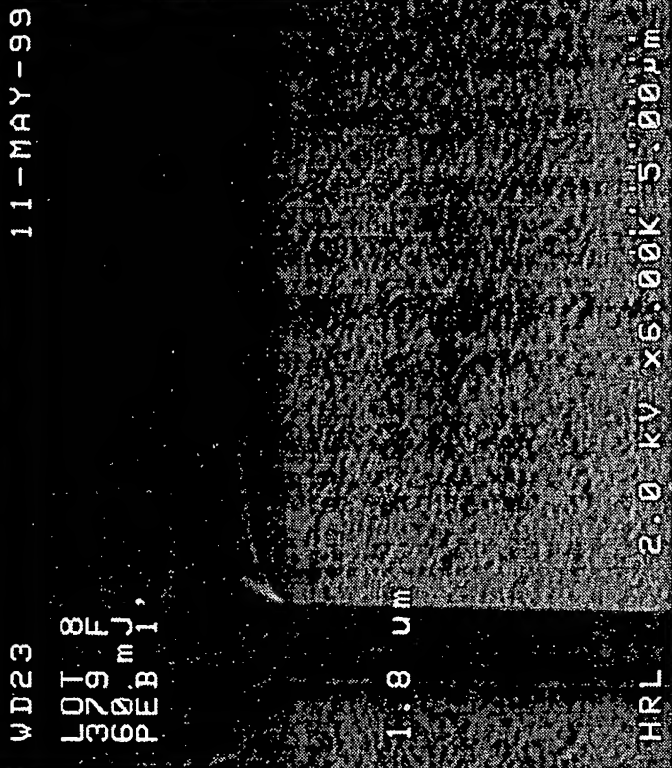


face
scallopy
one area
small

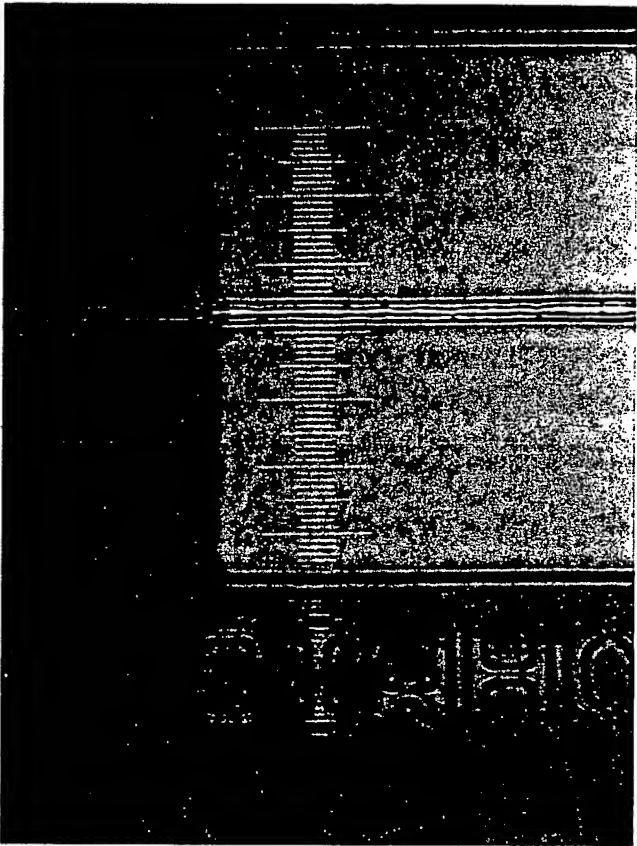




3.79F
60mJ
PEB=1



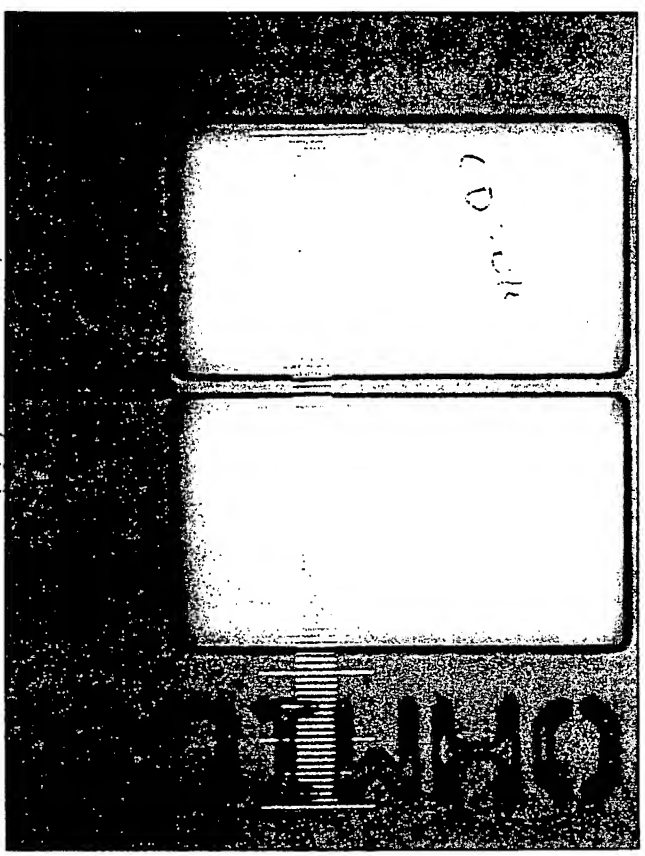
377 Lens
19 Lens
74 Lens
544 Lens



12-MAY-99

WD23
LOT 8
329 mJ
PEB 1 min

1.9 um



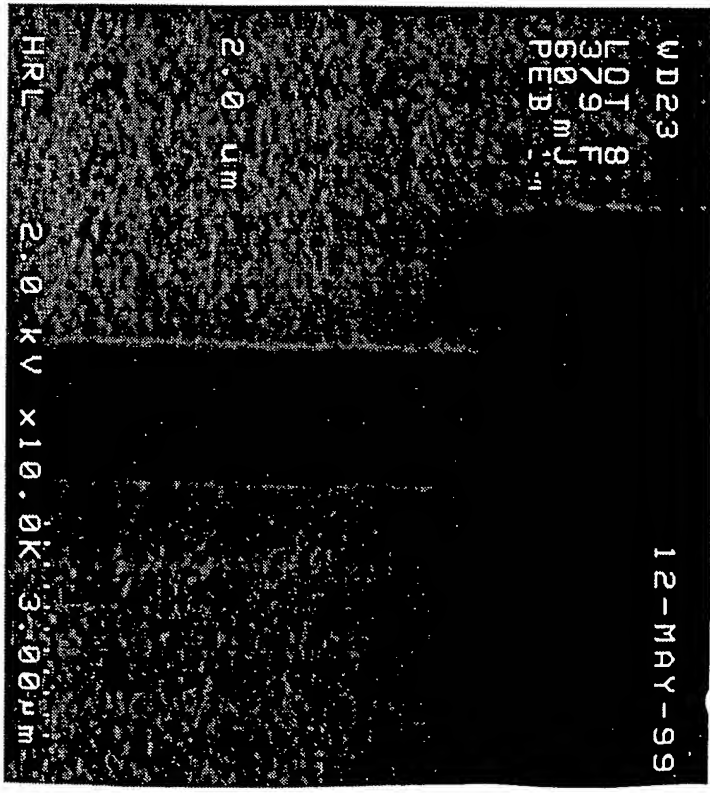
2.711 (L) 1.011
3.7411 (L) 1.011

2.711 (L) 1.011
3.7411 (L) 1.011

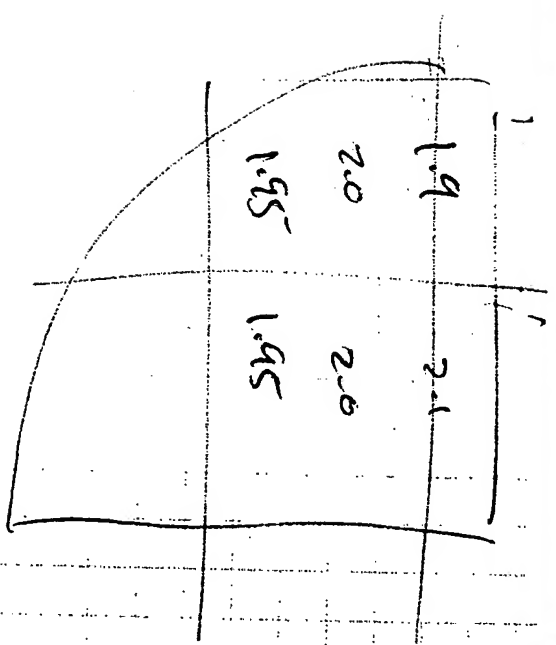
12-MAY-99

WD23
LOT 8
329 mJ
PEB 1 min

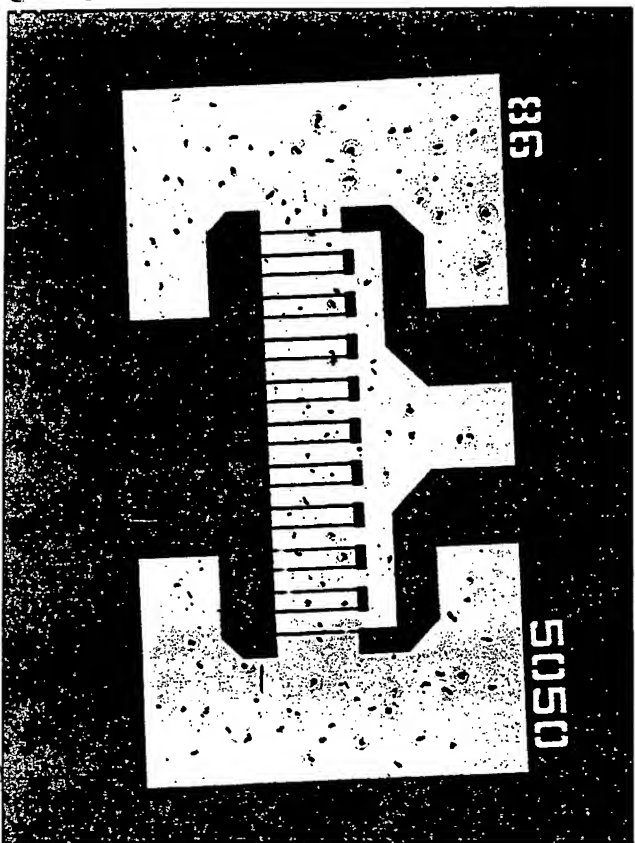
2.0 um



HRL 2.0 kV x10.0K 3.00um

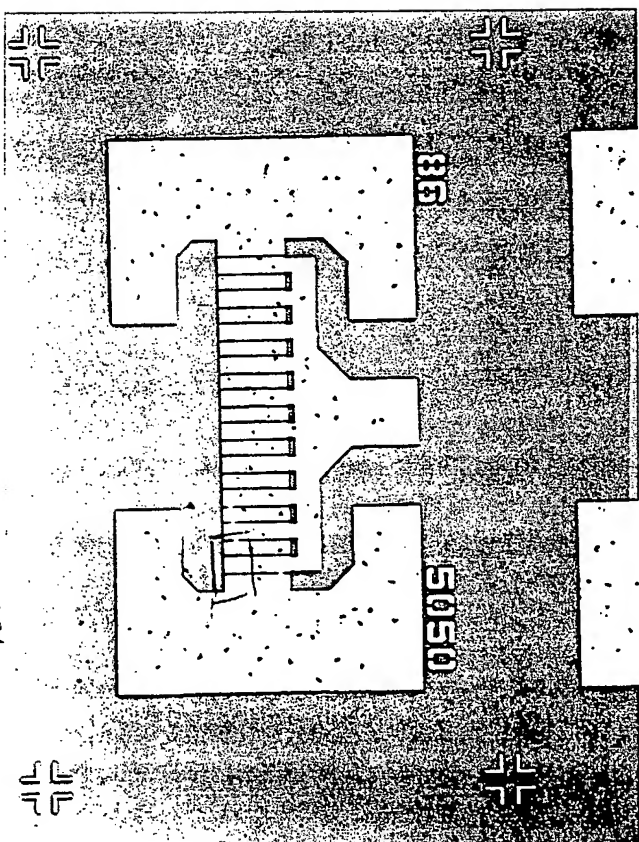


273 μm

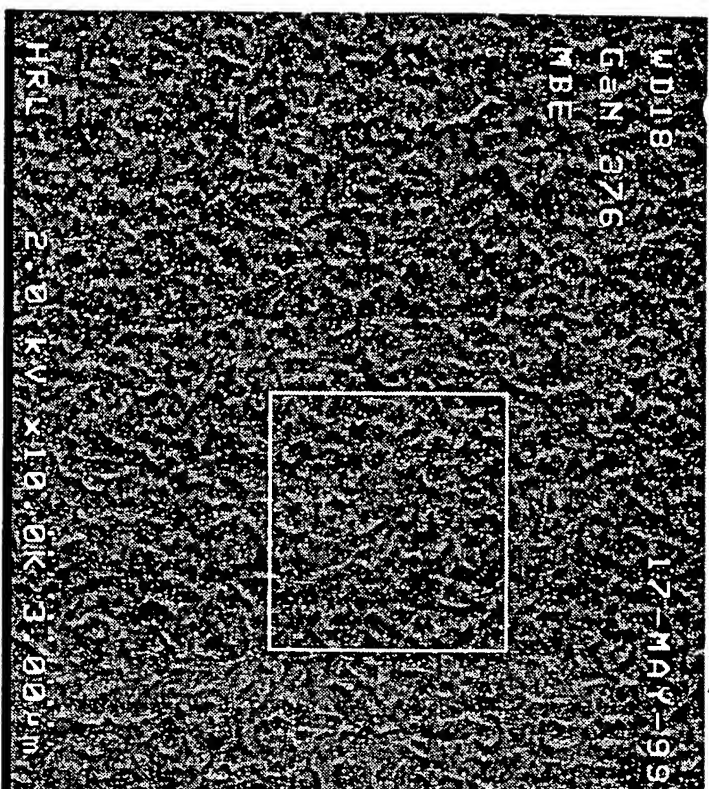


על

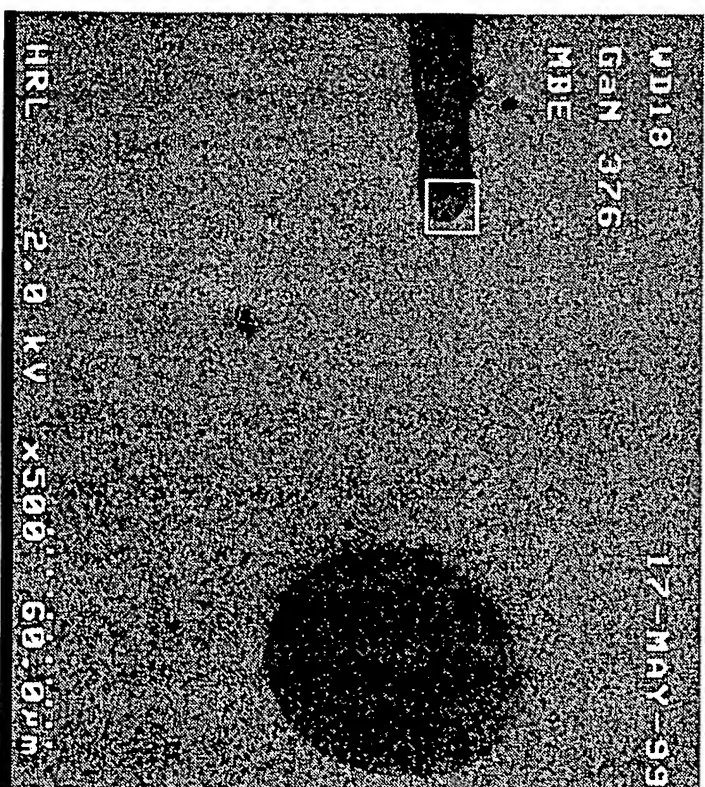
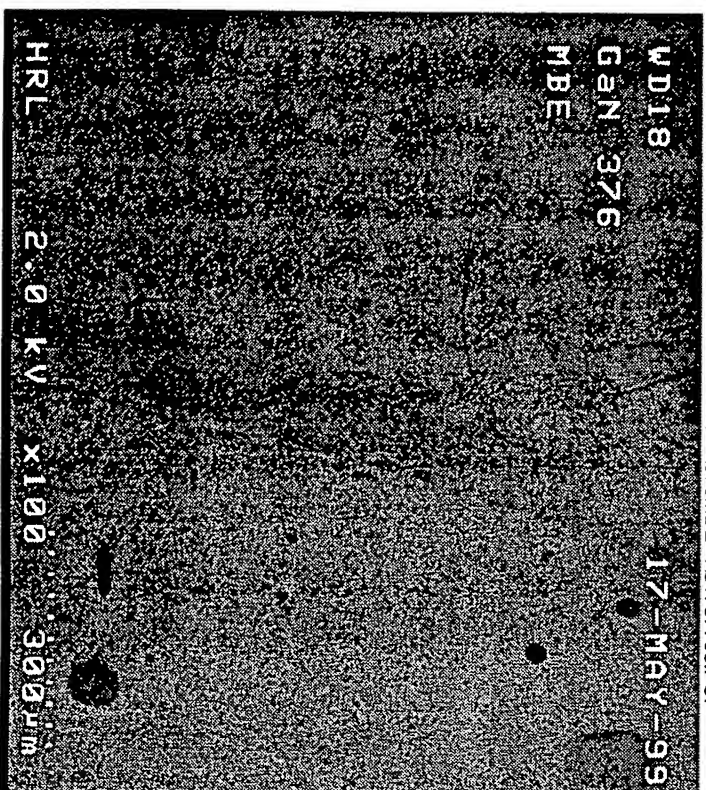
A technical drawing of a 16-pin D-sub connector. The drawing shows the connector from a side-on perspective, highlighting the 16 pins arranged in two rows of eight. The pins are shown in a cross-sectioned view, with the top half of each pin shaded. The connector is mounted on a bracket with a central screw hole. The drawing is a black and white line drawing with some shading to indicate depth.

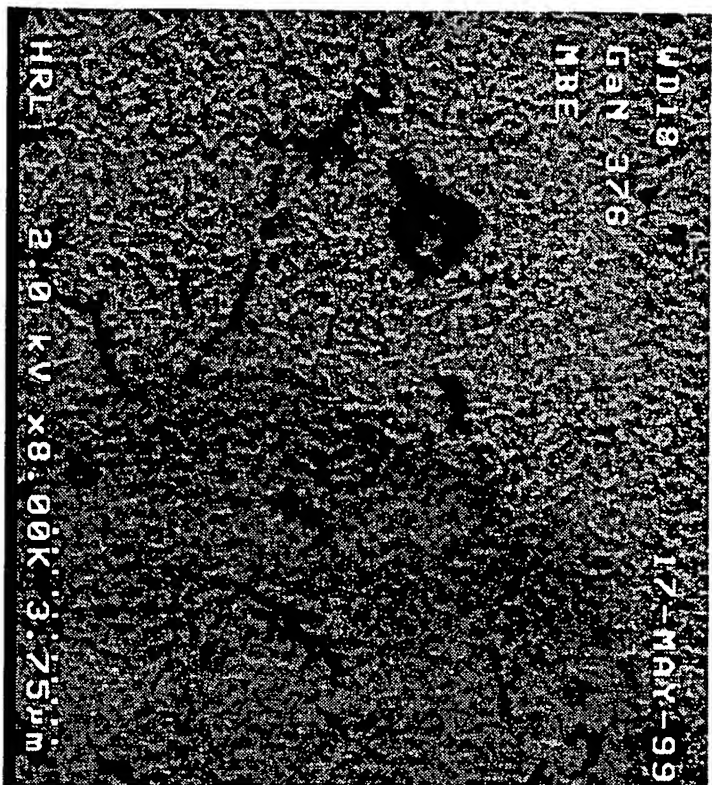


Lat 37.2 N = Palca FFS
Lat 37.2 N = Palca FFS

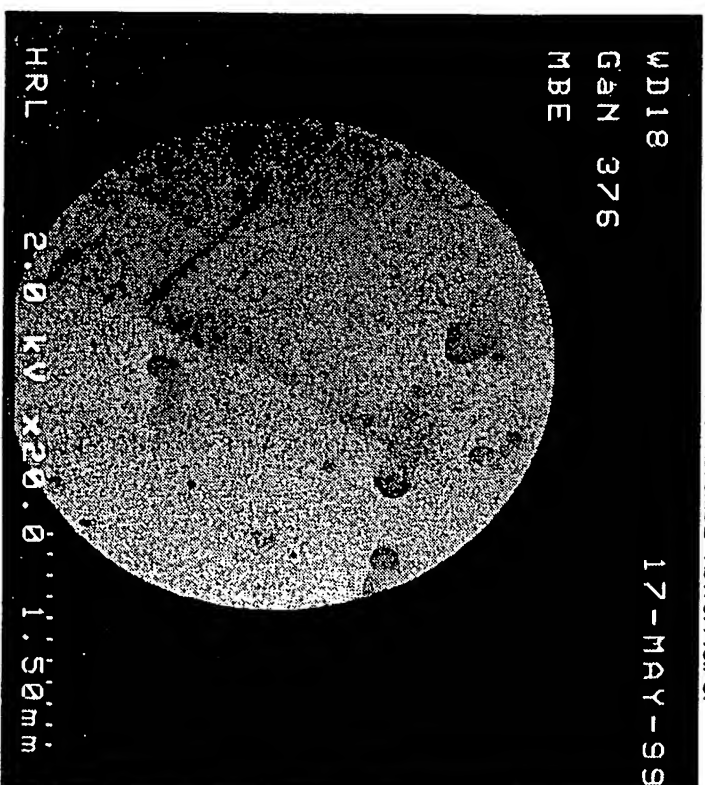
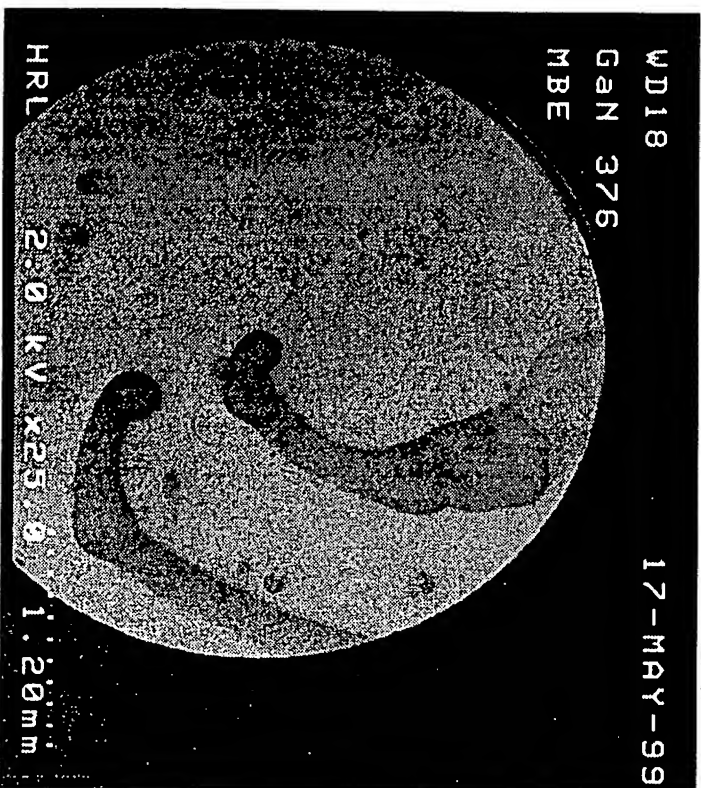
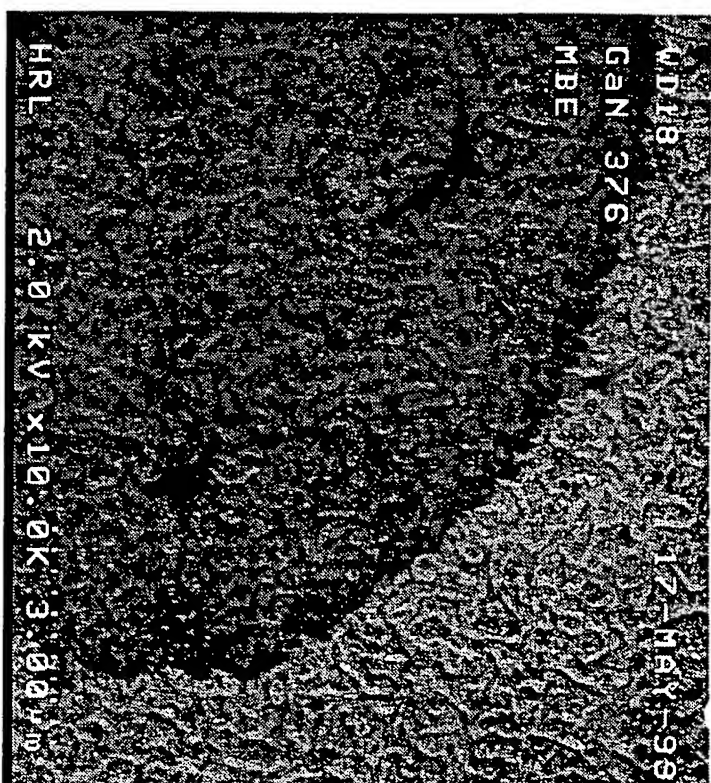


376
more
residue

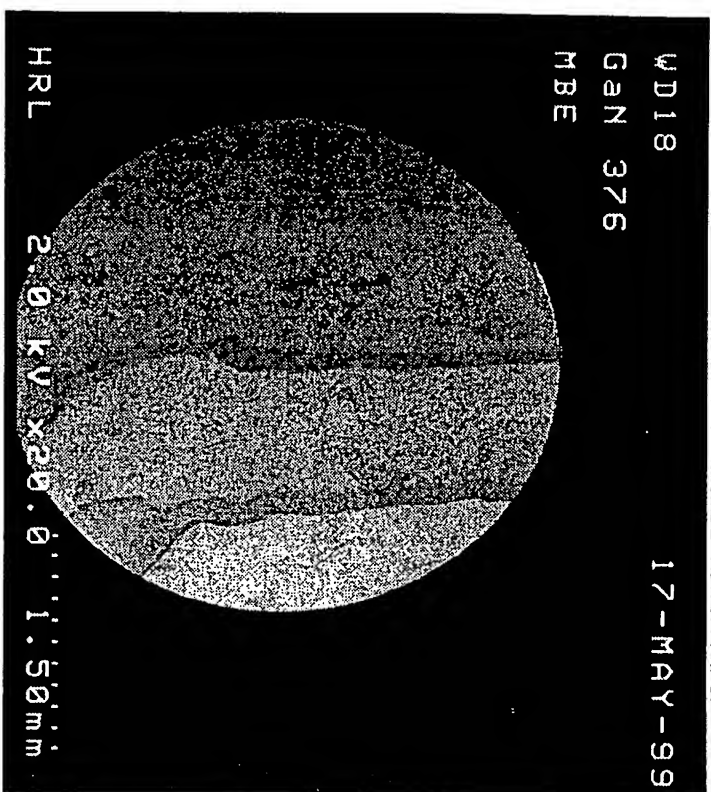




376

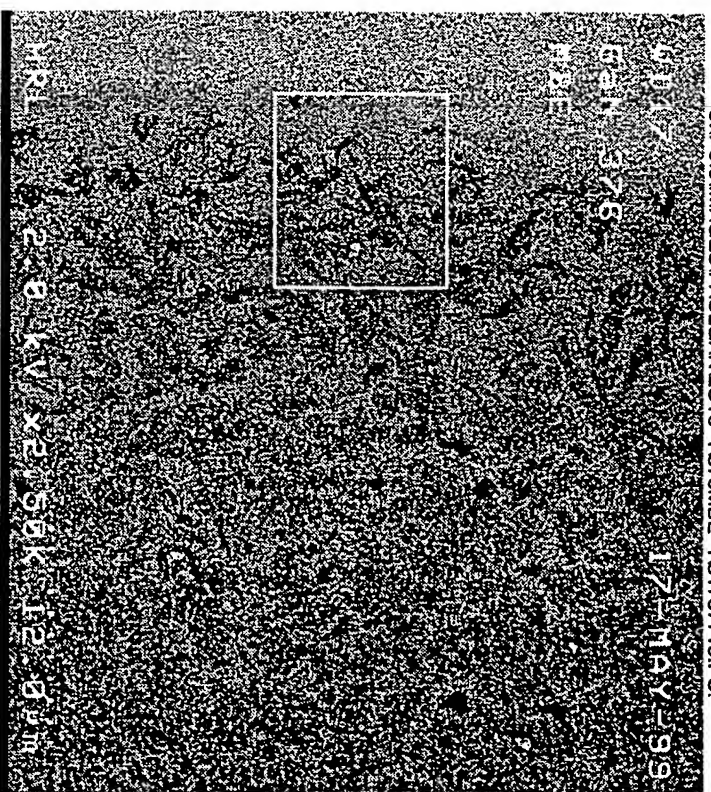


A:\PCI3\IMAGES\PAULGAN\LOT8-1\376MBE-1\51737714.PCI

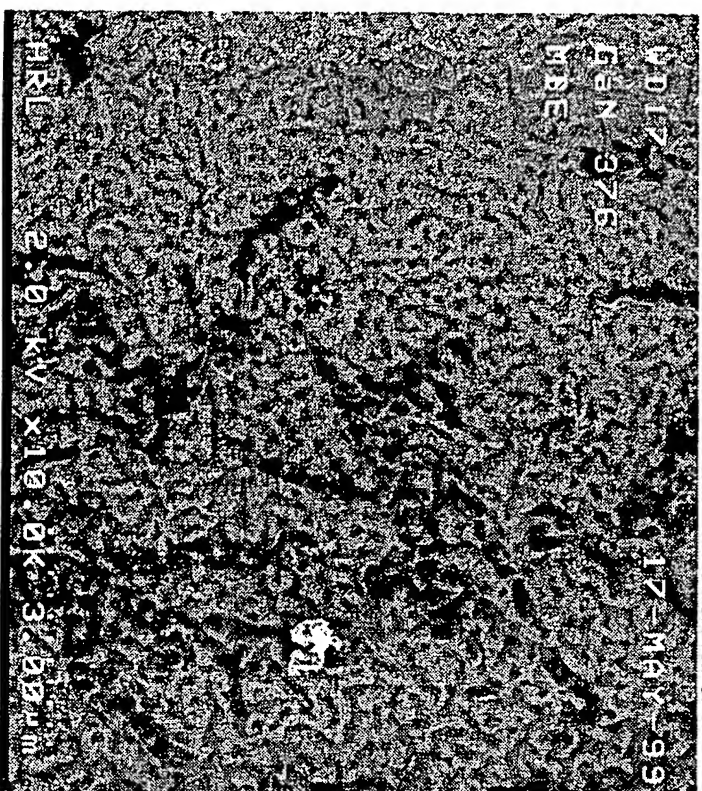


376

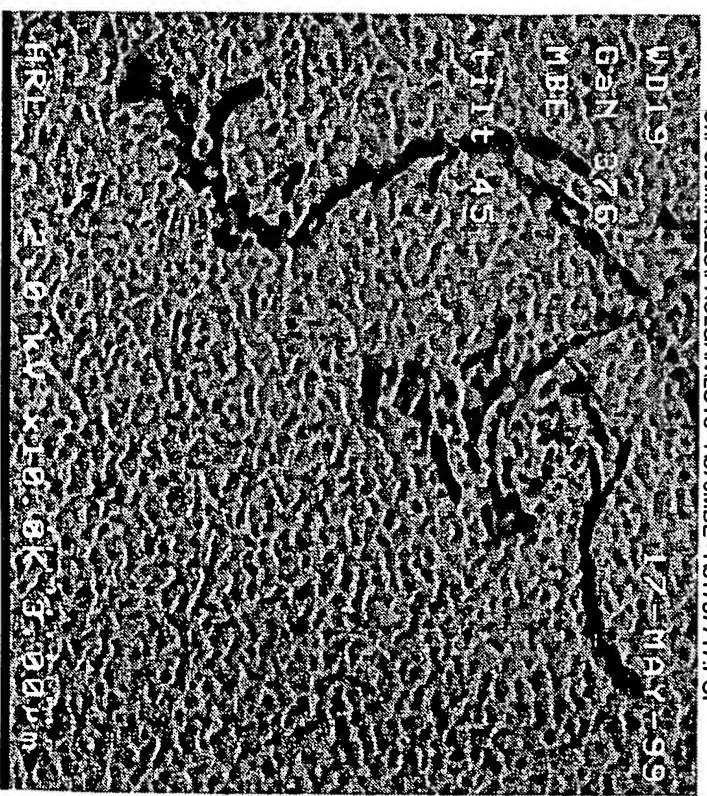
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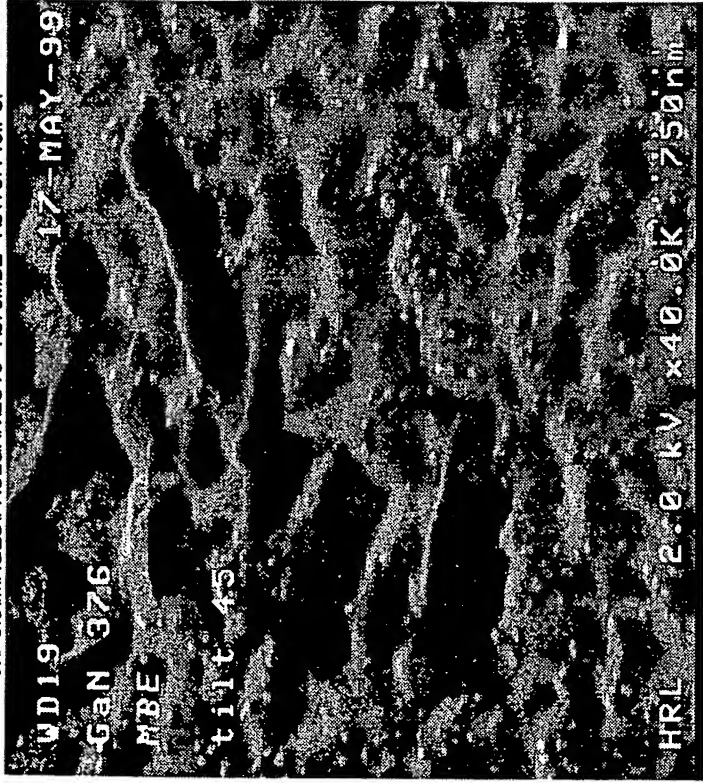


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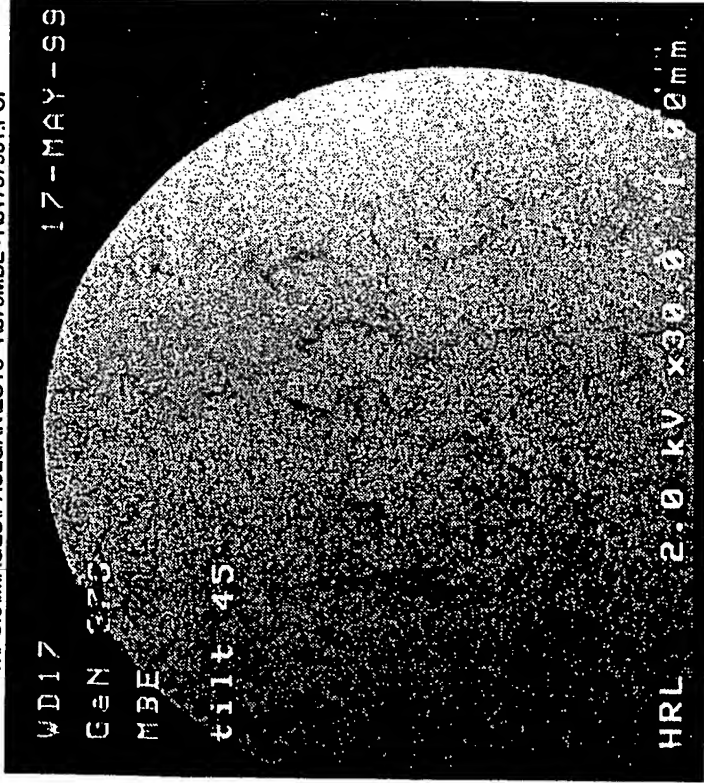
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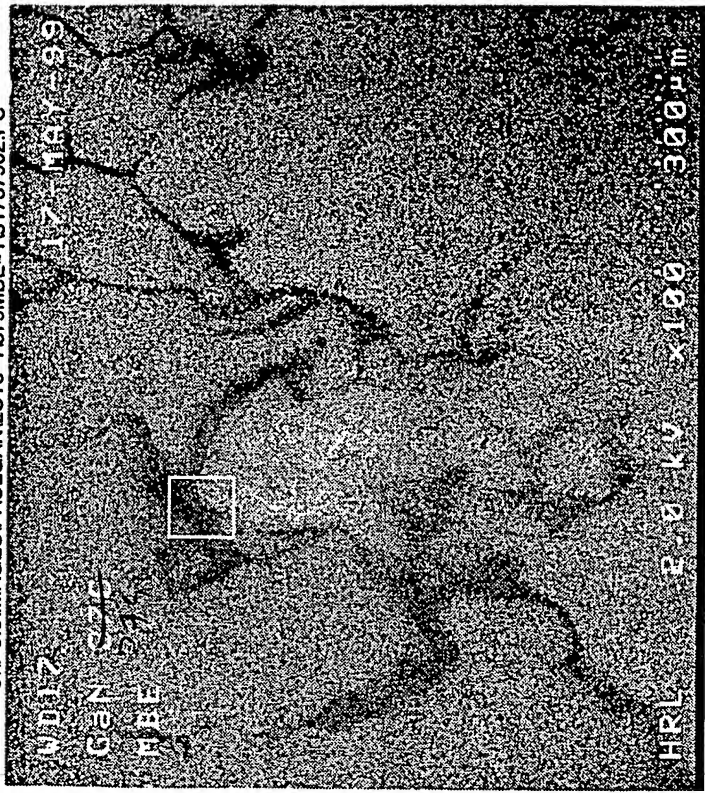
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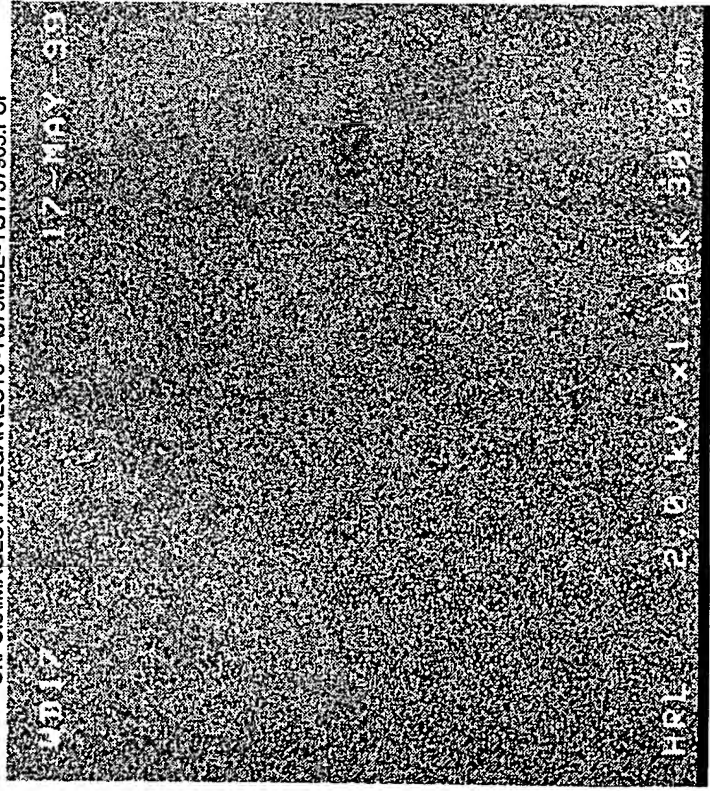


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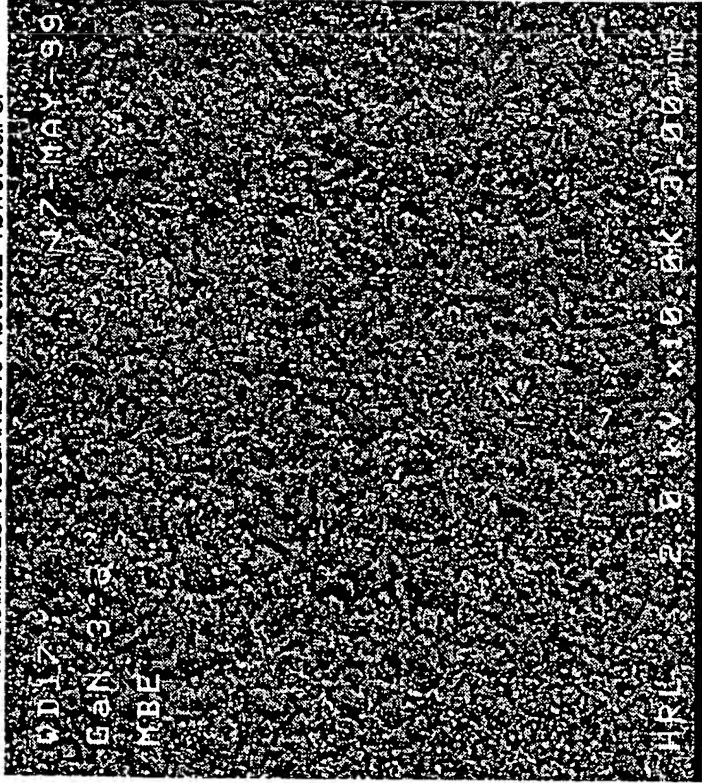


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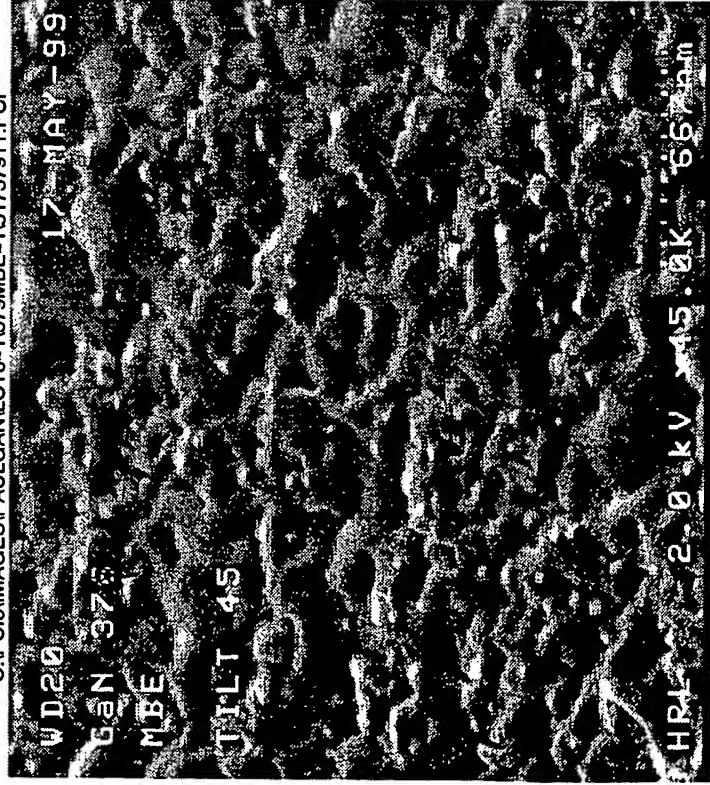
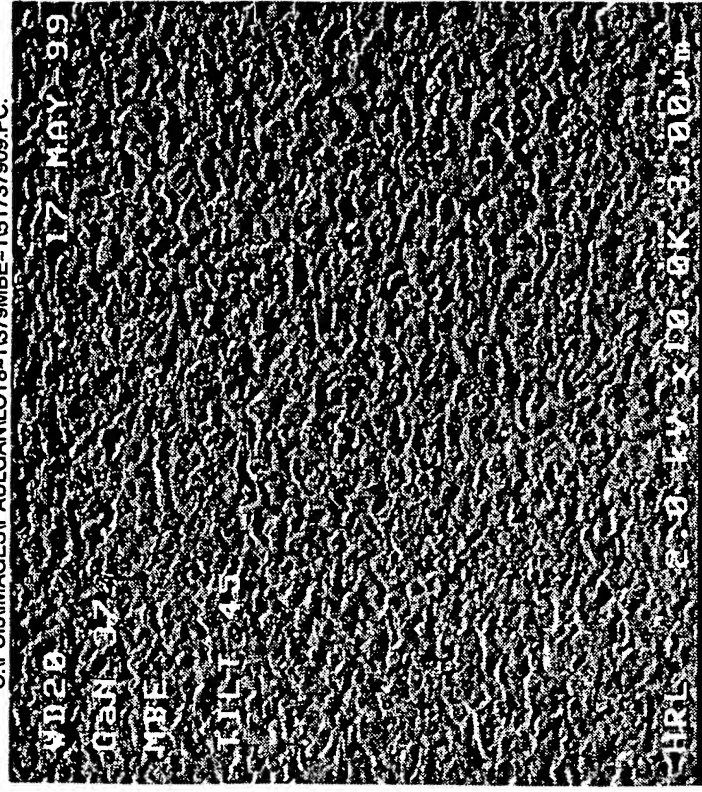


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